Abstract—The development of communications systems demands testing. This paper presents a framework for testing on-the-fly, which relies on the identification of 3 types of tests and on their sequential execution. The loco conformance relation was adopted in order to assign verdicts.

A prototype tool is also presented that supports the proposed framework. This tool, named PROFYT, was developed based on the SPIN verifier and uses communicating FSMs to describe the specification. PROFYT was used to test Conference Protocol implementations on-the-fly and enabled us to conclude about the benefits of the test methodology proposed.

I. INTRODUCTION

The development of communications systems demands testing. During decades, many testing methodologies were defined aimed at verifying the conformity of protocol implementations to their specifications [1], [2], [3]. One of the recent approaches consists in testing on-the-fly the implementation conformance [4]. Tools implementing the on-the-fly conformance testing approach, derive and execute tests in a single step; relying on some specification model, these tools explore the model not only to select the next message to be transmitted by the tester, but also to validate messages received from the implementation. Although appealing, this testing approach has some drawbacks such as: (1) the length of test traces and (2) the difficulty in reproducing detected failures [4]. Besides, the lack of test control may conduce to situations in which severe non-conformance cases are undetected, just because the test events selected randomly did not exercise some basic interoperability functions.

In this paper we present a new methodology which uses the on-the-fly approach, but reduces the problems mentioned. We also present a tool that implements the method, named PROFYT. This tool is based on the SPIN verifier and uses communicating FSMs as behaviour model. The validation of the method and the tool was based on the Conference Protocol; multiple faulty implementations were tested, and the cost of finding faults is compared with the TorX [4] tool. The results obtained enabled us to conclude and quantify the benefits of the proposed approach.

This work is reported in 6 sections. Section 2 defines the communicating finite state machines. Section 3 presents the main contribution of this paper: the progressive test method, the test modes, and the algorithms implementing them. Section 4 presents the PROFYT tool, which implements the methodology proposed. Section 5 reports the results of evaluating our methodology and tool against the TorX tool. Section 6 concludes the paper.

II. COMMUNICATING FINITE STATE MACHINES

Communicating finite state machines are used to describe the behaviour of interacting processes [3] and can be extended with message queues and variables [5].

A message queue \( m \) is a triple \( m = (U_m, N_m, C_m) \), where \( U_m \) is the set of messages, \( N_m \) is the maximum number of messages held by the queue, and \( C_m \) is the set of ordered sets of messages held by the queue; \( M \) denotes the set of queues used by a state machine and \( m \in M \).

The state of a variable \( l \) is denoted by \( v_l \) and its initial state is denoted by \( v_{l0} \); the state of the \( x \) machine variables is jointly represented by \( w = (v_1, ..., v_l, ..., v_x) \), being \( W \) the set of all possible \( w \), and \( w \in W \). \( Q \) is the finite set of state machine control states. The state machine global space state is then given by \( G = Q \times C \times W \), and contains states \( g_i = (g_i, (c_{i1}, c_{i2}, ..., c_{im}), (v_{i1}, ..., v_{li}, ..., v_{ix})) \), where \( g_i \in G \).

An Extended Finite State Machine is defined by \( P = (G_P, g_{0}, A_P, T_P, M_P) \). \( G_P \) is the finite and non empty set of global states; \( g_{0} \), the initial state; \( A_P \) is the set of actions of \( P \); \( T_P \subseteq G_P \times A_P \times G_P \) is the transition relation of \( P \); \( M_P \) is the set of message queues used by \( P \).

The \( P \) actions are given by \( A_P = T_P \cup \mathcal{O}_P \cup W_P \cup \{\tau\} \). \( T_P \) is the set of input symbols of \( P \), representing the reception of messages from the queues. \( \mathcal{O}_P \) is the set of output symbols, representing the transmission of messages to queues, being \( T_P \cap \mathcal{O}_P = \emptyset \). \( W_P \) is the set of symbols denoting the operations over the machine variables. \( \tau \) labels the transitions between states with no execution of actions in \( T_P \), \( \mathcal{O}_P \), or \( W_P \). The execution of actions in \( T_P \) or \( \mathcal{O}_P \) depends on the state of the message queues. The destination queue of an action \( a \in (T_P \cup \mathcal{O}_P) \) is represented by \( d(a) \); the message transferred through the \( d(a) \) queue is represented by \( msg(a) \). A transition \( t \in T_P \) results from the execution of an action \( a \in A_P \) and leads the machine from the state \( g_{i_P} \) to state \( g_{i+1_P} \). This transition is represented by \( t(g_{i_P}, a) = g_{i+1_P} \), or \( (g_{i_P}, a; g_{i+1_P}) \in T_P \).

A quiescent state \( g_{q} \) is a state having only outgoing transitions labelled with input actions. The set of quiescent states of machine \( P \) is defined by:

\[
\Delta_P = \{ g \in G_P | \forall \sigma \in (A_P \cup T_P) : t(g, a) \notin G_P \}
\]

\( A_P \) represents the set of all the sequences of \( A_P \) actions.

A trace is an ordered set of actions executed by \( P \) and it is given by \( \sigma \in A_P^* \). The concatenation of two traces \( \sigma_a \) and \( \sigma_b \) is represented by \( \sigma_a \circ \sigma_b \), while \( \sigma_a \cdot a \) denotes the concatenation of trace \( \sigma_a \) with the action \( a \). Moreover, a function \( tail(\sigma) \) identifies the last action of \( \sigma \), such that \( tail(\sigma_a \cdot a) = a \).
Moving from a state by executing a trace leads to extended transitions $T_p \subseteq G_p \times A_p \times G_p$, represented by $I((g, \sigma) \rightarrow (g', \sigma', g')) \in T_p$. The set of all the traces defined in $P$ is represented by $T(P)$.

These state machines can be composed, as defined in [6]. The composition of machines $X = (G_X, g_{0X}, A_X, T_X, M_X)$ and $Y = (G_Y, g_{0Y}, A_Y, T_Y, M_Y)$ is defined by a machine $Z = (G_Z, g_{0Z}, A_Z, T_Z, M_Z)$. The set of $Z$ states resulting from the composition of quiescent states of $X$ is $\Delta^X_Z = \{ g \in G_Z \mid \forall g_{\in A_X}, g_{\in G_Y} \rightarrow g = (g_{0X}, g_{0Y}) \}$ (2)

III. PROGRESSIVE CONFORMANCE METHOD

Let us consider a protocol specified by a set of communicating extended finite state machines. After composition, the specification is assumed to be represented by $S = (G_S, g_{0S}, A_S, T_S, M_S)$.

The architectural and functional characteristics of the tester depend strongly on the specification model. $S$ is said to be an open model in the sense that the behaviour of its environment is not described. In order to generate tests, a "maximum behaviour environment" needs to be created. This environment is described by a machine that can always send and receive all the messages; thus, it can generate every sequence of inputs in $S$ and receive every output sequence generated by $S$. This environment is represented by the state machine $E = (G_E, g_{0E}, A_E, T_E, M_E)$. The actions of $E$ are either message transmissions or receptions, and are related with the transmissions and receptions of $S$. The set $O_E$ is defined by $O_E = \{ a' \mid a \in A_S \land \text{msg}(a) \land \text{msg}(a') \land a' \notin O_S \}$ (3) and the set $I_E$ of reception actions is given by $I_E = \{ a' \mid a \in O_S \land \text{msg}(a) \land \text{msg}(a') \land a' \notin I_S \}$ (4)

The transitions of $E$ satisfy the condition $\forall a \in A_E : (g_{0E}, a, g_{0E}) \in T_E$. The set $M_E$ is given by $M_E = \{ gt \mid a \in I_E \lor O_E \}$.

When $S$ is composed with the specification $E$, a closed machine is obtained. This machine, named closed specification ($C$), represents the composition of state machines $S$ and $E$, and is described by $C = (G_C, g_{0C}, A_C, T_C, M_C)$.

The behaviour of our tester is inferred from $C$. The architecture of the tester is imposed by the queues of $E$. The tester actions are defined by the actions of $E$. The test transitions are obtained by exploring $C$; the reception of a message by the tester is possible only if the reception of the message is also possible in $C$.

The tester $T$ can be described by $T = (G_T, g_{0T}, A_T, T_T, M_T)$ where $G_T = (Q_T \times C_T \times W_T) \cup \{ \text{pass}, \text{fail} \}$ is the set of $T$ states; $g_{0T} = g_{0C}$ is the initial state of $T$; $A_T = (T_T \cup \text{pass} \cup \text{fail} \cup \{ \tau \} \times \{ \text{pass}, \text{fail} \}$ is the actions set; $T_T \subseteq G_T \times A_T \times G_T$ is the set of $T$ transitions; and $M_T$ is the set of $T$ queues. $O_T$ represents the actions of $O_E$. $T_T$ includes also two additional input actions, $I_T = I_E \cup \{ \xi, \delta' \}; \xi$ represents the reception of unknown messages; $\delta'$ represents the detection of an invalid quiescent state on the implementation under test (iut).

The queues $M_T$ are replicas of the queues $M_E$; however, the vocabulary of $M_T$ queues is larger than the vocabulary of $M_E$ queues, in order to accommodate the invalid iut messages. The $G_T$ and $T_T$ sets are defined dynamically by executing simultaneously the tester and the iut. Let us consider that the iut is modelled by the, a priori unknown, model $I = (G_I, g_{0I}, A_I, T_I, M_I)$, and assume that $M_I = M_T$, in order to enable the interaction between $I$ and $T$.

Initially, we consider that the tester $T$ has an empty set of transitions and is in its initial state $g_{0T}$. During the test execution, messages are exchanged between $T$ and $I$ through the $M_T$ queues. Testing is realised by checking the queues $M_T$ for messages sent by $I$. When, according to specification $S$, the iut has no messages to send, we say that the iut is in a quiescent state. In this case, $T$ is required to transmit a message, being each transmission of $T$ preceded by a message selection phase on $C$. The transitions of $T$ are defined by the routine $\text{RunTest}( )$ presented in Figure 1.

A. Optimised Test Modes

The random algorithm presented enables to test on-the-fly an iut; the tester and iut exchange messages until a message is sent by the iut which is not allowed by the specification. The loco conformance relation is adopted [2]. When a fault is found, the test log enables its characterization. After the fault is eliminated, a new test session should be initiated, until some pre-defined criteria for ending the test is reached. This approach brings problems, such as (1) the length of test traces and (2) the difficulty in reproducing detected failures.

In order to alleviate these problems, three additional testing algorithms are proposed. These algorithms address 3 types
of behaviour commonly observed during the test sessions by human operators:
1) a correct iut usually answers immediately to a received message;
2) a correct iut accepts messages leading to quiescent states and does not answer them;
3) a correct iut usually discards silently messages that are invalid or unexpected.

We defined one testing algorithm for each of these commonly observed behaviours; each algorithm is associated to what we called a test mode.

**Special traces and actions:** The definition of these algorithms demands the characterisation of some special behaviour traces. The classification of traces is usually carried out after algorithms demands the characterisation of some special behaviour paths through the reachability graph of $S$. These simulations are initiated at the quiescent state and explore all the inputs until one of the following conditions is detected: 1) an output action is detected, which corresponds to an input action of $E$; 2) a quiescent state is detected; 3) the maximum simulation depth is reached.

Traces are classified according to the condition that terminates the simulation. Let us consider that the execution of a test $T$ leads the machine C to a state $g \in \Delta C$, and also $t$ output actions in $O_E$ matching all the implementation inputs specified for a state $g$. Each $t$ action can initiate three classes of traces:

1) $\Psi$ traces: lead C to the input actions $r \in I_E$; the set $\Psi(g,t)$ contains the $\Psi$ traces initiated with the action $t$ on state $g$. The $t$ actions initiating the $\Psi$ traces belong to the set $A_\Psi$, defined by $A_\Psi(g) = \{ t \in O_E | \exists g' \in G_C : (\Psi(g,t) \neq \emptyset) \}$.

2) $\Phi$ traces: lead C to the quiescent states $g_s \in \Delta C_2$; the set $\Phi(g,t)$ contains the $\Phi$ traces initiated with the action $t$ on state $g$. The actions $t \in O_E$ initiating the $\Phi$ traces belong to the set $A_\Phi$, defined by $A_\Phi(g) = \{ t \in O_E | \exists g' \in G_C : (\Phi(g,t) \neq \emptyset) \}$.

3) $\Gamma$ traces: have length 1, or lead C to quiescent states, for which no judgement is possible. The $\Gamma(g,t)$ set contains the $\Gamma$ traces initiated with $t$ on state $g$ that either ignore $t$ or that do not belong to $\Psi(g,t)$ nor $\Phi(g,t)$. The $t$ actions of $O_E$ starting the traces of $\Gamma(g,t)$ belong to the set $\Gamma(g)$ defined by $\Gamma(g) = \{ t \in O_E | \exists g \in G_2 : (\Gamma(g,t) \neq \emptyset) \}$.

**B. Test Mode_1**

Test Mode_1 aims at detecting faults related to the first type of behaviour mentioned in Section III-A. The cases of non-conformance that can be detected using this test mode are invalid answering messages, missing messages and incorrect message coding. The selection of test actions in this test mode is made by the function SelectTM1TxMsg shown in Figure 2, instead of the SelectNextTxMsg, presented in Figure 1. The SelectTM1TxMsg function starts with the classification of the test actions executable from state $g$, and their distribution by the sets $A_\Psi$, $A_\Phi$, or $A_\Gamma$, according to the trace they initiate. Then, one test action $a$ is randomly selected from these sets depending on their emptiness. At the end, the FindAction function is used to identify the $\sigma$ trace that will be used to drive the machine $C$ towards the selected action $a$.

\[
\sigma \in \text{traces}(C)
\]

**Fig. 2. Test Mode_1 action selector**

\[
\begin{align*}
\text{SendAReplyMsg} & \in W_T \\
\text{SendAReplyMsg} & = \text{W_b}\quad \text{a controlling flag that switches between actions in } A_{\Psi}(g) \text{ and } A_{\Phi}(g) \\
\text{SelectTM1TxMsg} & (g \in O_C) \\
\end{align*}
\]

**Fig. 3. Test Mode_2 action selector**

\[
\begin{align*}
\text{SelectTM2TxMsg} & (g \in O_C) \\
\end{align*}
\]

**C. Test Mode_2**

The Test Mode_2 aims at detecting faults related to the second type of behaviour mentioned in Sec. III-A. This test mode detects the same errors detected with the Test Mode_1 plus the faults associated to unexpected messages. The SelectTM2TxMsg function presented in Figure 3 is used and it replaces the SortNextTxMsg used in the random algorithm of the Figure 1. In order to enable implementation evaluation, tests have to make the implementation behaviour observable. The SelectTM2TxMsg does this task by alternating the selection of $A_\Psi$ and $A_\Phi$ actions, when they exist. For that purpose, the variable SendAReplyMsg $\in W_T$ is used and it controls the selection criteria.

**D. Test Mode_3**

Test Mode_3 aims at detecting faults related to the third type of behaviour mentioned in Sec. III-A. This test mode enables the evaluation of implementation behaviours when they are submitted to invalid or unexpected messages. The SortNextTxMsg in the random algorithm is replaced in this test mode by the function SelectTM3TxMsg presented in Figure 4. The SelectTM3TxMsg behaviour also uses the variable SendAReplyMsg $\in W_T$ to control the selection of actions from $A_\Psi$ and $A_\Phi$ or $A_0$ when they exist.

**IV. PROFYT**

The test methodology presented in this paper led to the development of a test tool based on the SPIN [7] verifier: the PROgressive On-the-FLY Tester (PROFYT). This tool requires a closed specification model described in the Promela language [7]. In order to close the model, the environment processes must be specified. Based on this model an executable tester is built that operates using the algorithms described above. The tester also includes driver and interface capabilities, which enable the interoperability with the iut.
In order to evaluate our methodology, we tested some Conference Protocol implementations [4]. The conference protocol entities (CPEs) are the entities responsible for providing the conference service.

CPE Implementation Under Test: The conference protocol entities (CPEs) under test were implemented in the C programming language. The CPE has two interfaces: the CPE Service Access Point (CSAP), enabling the communication between an user and the CPE processes, and the UDP Service Access Point (USAP), enabling the communication between the CPE processes and the UDP service layer. Different CPEs were tested; each one is a mutant constructed by adding errors to a correct implementation. The conference protocol distribution provides multiple implementation mutants containing faults, from which a total of 29 mutants were tested with PROFYT. For each one, 200 tests with different seeds were executed.

A. CPE testing

Testing with the PROFYT tool demands the use of the four test modes (Test Mode 1, Test Mode 2 and Test Mode 3 and random). According to the test methodology presented, the test of each mutant starts with the application of the Test Mode 1. This type of test enabled the detection of faults in 22 mutant CPEs. The Test Mode 2 is initiated when the operator assumes that most of the faults detectable with the Test Mode 1 were detected and removed. The Test Mode 2 enabled the detection of 4 mutants. The Test Mode 3 enabled the detection of the remaining 3 mutants.

In this example, all the mutants were detected using our 3 test modes. Nevertheless, and in order to improve the operator confidence on the iut, the random test mode could also be executed in the end.

B. PROFYT vs TorX testing

In order to evaluate the PROFYT performance, we compared it with a similar tool. The TorX tool [4] was chosen for this comparison. The mean number of messages exchanged between the tester and the implementation were considered as the comparison metric.

Table I summarises the test results by comparing the average number of messages exchanged with the mutants and their standard deviations, in a test mode basis. It also provides the mean ratios of averages and standard deviations, by test modes. The average value represents the relation between the mean values of test sequence lengths obtained with the PROFYT and TorX tools and expresses a reduction of 35% (100%-65%) on test lengths by testing with PROFYT. The standard deviation of the message sequences length is reduced in 55% (100%-45%) by using the PROFYT.

VI. CONCLUSION

This paper addresses the problem of testing implementations on-the-fly using random model searches. This test approach is sometimes referred as uncontrolled since there is no human interference on its execution. Although this test approach can exercise the complete reachability graph, it has limitations such as the large number of messages exchanged for detecting the faults and the difficulty in reproducing the faults.

In this paper, we presented a test method that minimizes these drawbacks, while maintaining the essential of random model exploration. The method defines 3 modes which enable to focus the testing in 3 types of behaviours commonly observed by the operators. Although random, the selection of the tester messages is constrained by the test type. In this way, the tester messages that are more relevant for each test type are selected first, minimizing the number of messages exchanged.

The iut conformance testing process is carried out progressively, by executing all the test modes. The progressive approach enables the addressing of fault domains but, simultaneously, it avoids the explicitation of individual test purposes. The method is particularly interesting in the development phases, where it enables an incremental confidence on the implementation. Besides, by keeping the random component of the algorithms, it enables enlarging of test coverage.

REFERENCES