

Modelling Electrochemical Energy Storage Devices in Insular Power Network Applications supported on Real Data

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Abstract

This paper addresses different techniques for modelling electrochemical energy storage (ES) devices in insular power network applications supported on real data. The first contribution is a comprehensive performance study between a set of competing electrochemical energy storage technologies: Lithium-ion (Li-ion), Nickel-Cadmium (NiCd), Nickel-Metal Hydride (NiMH) and Lead Acid (PbA) batteries. As a second contribution, several key engineering parameters with regards to the PbA battery-based storage solution are examined, such as cell charge distribution, cell string configuration and battery capacity fade. Moreover, an ES system operating criterion is discussed and proposed to manage the inherent rapid aging of the batteries due to their cycling activity, as a third contribution. The simulation results are supported on real data from two non-interconnected power grids, namely Crete (Greece) and São Miguel (Portugal) Islands, for demonstration and validation purposes.

Keywords: battery SOC; modelling techniques; insular grids; electrical energy storage; renewables integration.

1. Introduction

In the last decade and half CO₂ emission reduction has become an item on the political agenda of most developed countries to decelerate the global warming phenomenon. In this sense, renewable energy sources have a fundamental role towards climate change mitigation, the decrease of negative health and environmental effects and the security of electricity supply [1] [2].

Insular power grids (IPG) are encouraging for renewable energy sources (RES) deployment since wind and solar resources are generally abundant. Presently, RES exploitation in insular systems is an increasing reality, although it still has a reduced or moderate contribution to the insular energy mix. However, the gradual changes in insular energy mix will introduce new challenges from the grid operation perspective, mainly due to the intrinsic volatility of renewable generation exacerbated by load variability, inexistent interconnections and

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30 reduced dimensions of the insular grids. In this framework, insular grid operators would need to resort to
31 additional reserve margins in order to keep the reliability of the IPG intact [3]. For instance, if wind power
32 integration surpasses 20% of the installed capacity, ancillary services such as frequency regulation would
33 require an increase of 7% of capacity to face the grid instability [4]. For the aforementioned reasons additional
34 sources of flexibility have to be adopted in order to avoid the deterioration of IPG management [5].

35 ES systems could become in the medium term one the main drivers for RES expansion in insular energy
36 panorama. However, IPGs are indeed heterogeneous in terms of size, RES resources, load demand variability
37 and installed power mix. ES can only become a viable solution if analysed in connection with the challenges
38 associated to RES planning at a large scale [6] [7].

39 In this paper two real insular systems that serve as the basis for the present study are discussed. The next part
40 targets a comprehensive study of four competing electrochemical storage devices, which are Li-ion, NiCd,
41 NiMH and PbA batteries; their evaluation is performed on basis of merit figures created for this purpose. The
42 third part is dedicated to the PbA battery. The design aspects of this battery sizing are analysed, specifically the
43 charge distribution on a serial cells arrangement and energy capture as function of cells configuration (single or
44 parallel strings). The paper ends with the presentation of an ES system operating criterion with the purpose of
45 extending the battery life. The simulation results are supported on real data from non-interconnected power
46 grids, which are Crete (Greece) and São Miguel (Portugal) Islands. The real data concerning one week of
47 operation were supplied by the Singular EU FP7 project [8]. An ES system operating criterion is proposed and
48 discussed to manage the inherent rapid aging of the batteries due to their cycling activity. A simplified
49 modelling of the capacity fade estimation is also proposed and utilised in this paper.

50 The remainder of the paper is organised as follows. In section 2 the background on the studied conventional
51 energy storage technology is addressed. In section 3 a summary of the two researched insular systems is
52 presented and the respective case studies are addressed. Section 4 focuses on the analysis of the performance
53 between a set of competing electrochemical ES technologies. The sensitivity analysis of battery design
54 parameters is presented in Section 5. The conclusions are finally made in Section 6.

55

56 2. Background on the studied conventional energy storage technology

57 Utility ES applications will play three main roles [9] [10] [11] [12] : 1) Stabilizing power which means ES can
58 make an active contribution to the grid power quality with sophisticated services aiming voltage and frequency
59 regulation; 2) High flexibility in balancing power – for filling the gaps between conventional and non-
60 conventional power, e.g., short-time drop in wind power can be replaced by ES resources. Alternatively, it can
61 secure critical energy supply while part of generation is ramped-up or disconnected from the grid. Moreover,
62 high flexibility means the energy discharge time can be chosen according to the application itself; 3) Dispatching
63 energy which allows the possibility to deploy power when it is needed. Such solution offers opportunities to
64 take advantage of time-pricing scheme since the energy can be stored at low demand periods and traded to be
65 deployed at higher price periods, thus, shortening the payback time and increasing the potential profits.

66 Utility ES solutions comprise a range of technologies with wide-ranging energy and power handling
67 capabilities [13]. Electrochemical batteries could offer the required flexibility to cope RES intermittency at all
68 levels of the insular power grid [14] [15]. The support given by a battery energy storage system (BESS) is that it
69 can recover the wind power curtailment and at same time providing advanced grid services concerning the
70 discharge of electrical energy in a longer period or in a very short time [16]. On the other hand, the reduction of
71 the utilisation of traditional power stations in favour of the use of RES raise questions of performance among
72 the different electrochemical options and the optimal sizing of grid connected battery systems [17]. That said,
73 one of main challenges for grid BESS successful operation is their ability for working for extended periods of
74 time at a partial charge [18].

75 Currently, the battery universe for grid-scale ES systems as mature and commercially available solutions
76 comprises PbA and Li-ion batteries. Despite their high media exposure and continuous improvement on the
77 performance by many battery manufacturers other electrochemical ES options are available. That is the case for
78 NiMH and NiCd batteries, however their application in the ES market varies greatly [19] [20]. Recently, Sodium
79 Sulphur (NaS) batteries have been considered as model candidates for large grid scale BESS applications [21].
80 Although it is known that this battery is highly efficient and has environmentally friendly characteristics, it has
81 several additional design requirements due to the operating conditions and cell configurations [22] which make
82 the project and O&M costs of this BESS expensive for s small electric grid such as São Miguel. For this reason,

83 NaS BESS are not considered in this study. However, a study of modelling and sizing of NaS BESS for
84 extending wind power performance in Crete Island was performed in [23].

85 From a historical perspective PbA battery is the oldest technology in use. Its discover goes back to XIX
86 century. The cycling characteristics and energy density of the PbA cell is inferior to other modern
87 electrochemical options, but such issues are balanced in large part by the advanced level of maturity of the PbA
88 battery industry and its low cost [24]. On the assumption that environmental issues and weight do not have an
89 influence on the power generating facility, PbA batteries will likely remain a standard in the BESS field [25].
90 PbA batteries are utilised in a wide variety of different tasks, each with its own characteristic duty cycle ranging
91 from combustion vehicles for starting the vehicle, as back-up in telecommunications and in other continuous
92 power supplies. Such types of batteries are highly suitable for medium- and large-scale ES operations since they
93 are capable to offer a satisfactory combination of performance parameters at a cost that is significantly below to
94 those of other systems [26] for a large range of production capacity of electricity from RES [27]. In fact, several
95 projects using this chemistry have been deployed in terms of medium- and large-scale grid ES systems,
96 comprising installations of few hundreds of kW to MW. As an example, a 10 MW/40 MWh facility made up of
97 PbA batteries has been running for more ten years [19]. Valve-regulated PbA (VRLA) batteries also known as
98 advanced PbA batteries, which use an immobilised electrolyte, were developed to extend the service life and to
99 minimise the maintenance when compared with conventional PbA batteries [18]. Advanced PbA display
100 several advantages over conventional PbA batteries, such as higher reliability under depth of discharge (DOD)
101 cycles, longer lifetime service and the flexibility of installation in any orientation [28]. Several projects are
102 currently in motion concerning the application of such a BESS technology on islands, such as the Kahuku Wind
103 Farm project - a 15 MW fully integrated ES and power management system designed to provide load firming
104 for a 30 MW wind farm in Oahu, Hawaii, United States [29] or the Kaua'i Island Utility Cooperative in Koloa
105 Hawaii, United States [30].

106 Li-ion batteries present themselves as an alternative ES technology to PbA batteries and are becoming the
107 main choice for many applications such as portable electronics, power tools, power back-up systems and plug-
108 in hybrids and electric vehicles [31] [32] [33]. By the reason of having a long lifetime, higher specific or
109 volumetric power, higher energy density, wide temperature range and decreasing costs have made Li-ion

110 batteries more interesting for the abovementioned applications [34]. As for grid energy storage applications
111 these electrochemical cells are getting increasing attention not only by the companies involved in their
112 development but also the utilities seeking a reliable and lasting solution. The general interest around this
113 chemistry is confirmed by several field trials across the globe. In USA, various pilot programs are conducting
114 utility battery energy storage tests with Li-ion devices, the largest one located in a wind farm in California and
115 featuring an energy storage installed capacity of 8MW/32MWh [35].

116 NiCd batteries have been used from early XX century. Such types of batteries display a significant power
117 density and a lightly higher energy density when compared to other conventional ES technologies. Such types
118 of batteries are able to perform well even in cases of low temperatures, i.e. from -20 °C to -40 °C. A Notable
119 feature of chemistry NiCd is the capability to withstand high cycle durability. Such ability is associated to the
120 chemical stability of the electrode materials. Typically, self-discharge is slow and remains relatively stable as
121 result of progressive separator metallisation [36]. Nowadays, these batteries are gradually being dispelled due
122 to the toxicity of cadmium, restricted to stationary ES usage in European space. However, recent developments
123 indicate that this matter is being addressed, thus allowing this chemistry to be used in grid ES [37]. For instance,
124 in Bonaire, a Caribbean Island, a NiCd battery based 3MW ES system is already in operation. The battery banks
125 serve as storage interface between an 11MW wind power plant and a diesel/biodiesel fuelled thermal unit rated
126 at 14MW, providing dependable and steady power supply [38].

127 NiMH is a technology that in the last decades was mostly neglected for grid storage purposes. The initial
128 objective of NiMH batteries was to substitute the NiCd ones. Undeniably, the entire positive properties of NiCd
129 batteries are displayed by NiMH batteries, except in the case of the maximal nominal capacity which is ten
130 times lower than PbA and NiCd. The NiMH chemistry when compared to NiCd battery presents similar cycle
131 durability and higher energy density yet much lower power rate capability. The power rate deterioration and
132 capacity fade are caused by corrosion and fracturing of hydrogen-adsorbing alloy and cathode material changes
133 into inactive crystalline form [36]. In turn, the self-discharge can be very low or moderate since the rate is
134 strongly influenced by the utilised active materials [39]. Essentially, the reduced self-discharge capability of this
135 chemistry is considered invaluable in some applications where energy conservation is crucial for electric
136 systems operation. NiMH is considered robust and much safer when compared to Li-ion batteries. However,

137 the prices between these two batteries are similar. Currently the progress investigation and development of
138 NiMH battery materials has achieved noteworthy improvements in such domains as lifetime and operating
139 temperature range that turns the NiMH battery into a feasible contender for utility-scale BESS utilisation [40].
140

140

141 **3. Two Insular Systems as Case Studies**

142 **3.1 Crete, Greece**

143 The Crete thermal generation is made of three thermal power plants (Atherinolakkos, Chania, Linoperamata)
144 of circa 765 MW containing 25 generating units, all managed by the Public Power Corporation (PPC).
145 Additionally, the non-conventional generation sources of about 194 MW are comprised by 32 Wind farms
146 belonging to private entities. In conclusion, a large number of both rooftop and ground-mounted Photovoltaic
147 (PV) systems have been commissioned in the last six years, which corresponds to a solar power of circa 95 MW.
148 In annual terms, the energy needs of Crete is nearly 3 TWh and during summer the maximum power
149 consumption ascends to 550-600 MW, as a result of the tourism factor. The transmission system is operated at
150 150kV and contains 19 power substations. In turn, at grid distribution level the electricity is supplied at 15kV
151 and 20kV. RES based energy production exceeds just only 20% of the demand at least at certain times
152 during the year, whereas in certain windy and/or sunny days the instantaneous RES energy injection reaches
153 50%.

154 In this island the customers of PPC are all the end users – PPC being the biggest electricity supply and power
155 production company in Greece with circa 7.4 million customers in both the non-interconnected and
156 interconnected power systems. The generation mix of Crete in the end of 2013 can be observed in Table 1.
157

157

158 "Table 1 can be observed at the end of the document".

159

160 In addition, the power system of Crete includes three additional thermal units that can enter into operation in
161 case of emergency (e.g. generation shortfall) and presently serve as cold reserve units. The aforementioned
162 thermal units comprise two CCGT units combining an installed capacity of 33.8MW and one steam turbine
163 powered power plant rated at 6.2 MW.

164 In a medium-term perspective, energy production expansion comprises the installation of 2 new ICE units in
165 the Atherinolakkos Power Station, consequently increasing the installed ICE capacity by 100 MW. Additionally,
166 plans exist for installing a new 250 MW CCGT plant in Korakia area, (in the middle of the distance between
167 Rethymno and Iraklio) in combination with a Natural Gas Terminal Station.

168

169 *A. Scheduling strategies and reserves management*

170 Scheduling strategies and reserves management on the subject of the unit commitment procedures, the
171 thermal units can be split into 3 distinct classes: peaking units, mid-merit units and must-run units.

172 The initial category just includes OCGT units. The switch on/off decisions are made for a few hours ahead
173 with just few minutes' refinements depending on the RES forecasting errors and load.

174 Mid-merit units contain the ICE units and their switch on/off decisions are effectuated for a few hours
175 ahead with circa a quarter of an hour tweaks depending on the RES forecasting errors and load. Thus, cost
176 functions are usually taken into consideration for such a decision.

177 The last category consists in the Steam units and the CCGT units and such type of units change their
178 commitment status exclusively for maintenance purposes. Thus, the maintenance requirements are always
179 communicated from the power stations operator to the dispatch centre operator. In order to select the best
180 possible period of maintenance such requirements are taken into consideration along with demand estimations.
181 The CCGT is the most flexible plant of this type of category explained by the fact that during the low load
182 demand of the winter period one of the gas turbines (GT) of the CCGT block is switched off, thus, this GT could
183 initiate its operation once more in cases of demand increases. Therefore, in case of Crete Island this is the main
184 reason why one GT and the corresponding steam turbine (ST) of the CCGT plant are considered base-load units
185 for the winter period.

186 The CCGT is typically utilised for frequency regulation in a context such as economic dispatch procedures.
187 RES generation deviations and load demand are mostly addressed by this type of unit. Periodically, at every 5
188 to 15 min the operating point of the rest of the committed units might change in line with the fuel costs of the
189 units – also compared with the CCGT additional cost.

190 Operators have real-time access to direction measurements and wind speed at each wind park. This not just
191 regularly supports the assessment of the wind power production, but the probability of wind power generation
192 fluctuations as well.

193 As for PV power plants, based on their geographical dispersion several properly selected PV plants are
194 monitored and their production is then adapted to match the power generation resources of the island, with the
195 intention of assessing the total PV generation.

196 The instructions of the dispatch are communicated to the operators of each conventional unit through
197 dedicated carrier lines every time they are required. In case of regulating the reactive power production of the
198 units resembling instructions are provided. Typically, the CCGT operates in load-following mode for frequency
199 regulation.

200 Primary, secondary and tertiary spinning reserves are controlled by HEDNO. The spinning reserve
201 requirements calculation takes mostly into consideration the possibility that at least the largest generating unit
202 in operation trips since these are the minimum spinning reserve requirements. Spinning reserve requirements
203 take also into consideration such parameters as a) the weather conditions, b) the wind power production, c) the
204 wind direction (optionally), considering that for the same wind speed the wind production rises for south wind
205 direction, and d) the possibility that a single transmission line is out of order.

206

207 *B. RES management*

208 Only in cases when the energy production comes from wind parks the process of curtailment is permitted.
209 Since each PV plant has a small capacity and despite PVs being widespread, the fact that they produce during
210 daytime period (when limited curtailment is expected) leads to such a policy for wind power. Ultimately, there
211 is no preference on voltage levels.

212 Still on the subject of curtailment process - wind power plants have been separated into two groups: the old
213 ones (Group A) that are not curtailed except if the new ones (Group B) minimise their output, set equal to zero.
214 This signifies that except if all wind farms belonging in Group B have minimised their production, no wind
215 park of group A will receive reduced set-points. The total set-point, the maximum total allowable wind
216 production, is automatically calculated every 20 seconds based on the preferred wind power penetration level

217 of the insular power system that is around 30-40% and the technical minimum of the committed conventional
218 units. Therefore, the set-point of the online wind farms is calculated proportionally to their installed capacity. In
219 this regard, the curtailment is mainly distributed to group B wind parks and any additional curtailment is
220 distributed to group A wind parks.

221

222 **3.2 São Miguel, Azores**

223 It is the largest island of the Autonomous Region of the Azores (Portugal). EDA is the
224 transmission/distribution system operator also in control for the thermal production in the island of São
225 Miguel. The company that is in charge for renewable energy production is EDA Renováveis and comprises
226 geothermal, small hydro and wind production. It possesses one thermal power plant containing eight ICE units
227 with a total capacity of 98 MW and various RES plants (hydro, wind, PV, and geothermal) widespread across
228 the island. In Table 2 is presented the generation mix of São Miguel at the end of 2014.

229

230 "Table 2 can be observed at the end of the document".

231

232 The Geothermal plants found on this island operate with constant power and do not support the frequency
233 and voltage regulation. Similar operational patterns are shown by the seven small hydro plants, consequently
234 not having much importance for the system management as a result of their small installed capacity.

235 The low-load periods which correspond to night periods are currently saturated with renewable energy:
236 there is no margin for additional renewable production and, also, the wind production needs to be curtailed
237 during such periods due to the need to keep the thermal units running over their technical minimum limits in
238 order to guarantee the frequency and voltage regulation.

239 Forthcoming prospects include the building of a waste incineration plant (private investment) and perhaps
240 additional geothermal capacity. Nonetheless, this will only be possible with the contribution of storage
241 (reversible hydro units) in the system in order to reduce the over-generation during the low-load periods.

242

243

244 *A. Scheduling strategies and reserves management*

245 The load dispatch centre of the islands manages all the production facilities and notifies the thermal power
246 plant (heavy-fuel oil) with approximately one hour earlier for the necessity to start/stop one of the smaller (4 x
247 7.7 MW) or one of the larger (4 x 16.8 MW) generation units. Yet, the operators of the thermal power plant are
248 who decide which of the smaller units or which of the larger units could be started or stopped.

249 In addition, an original risk-based method was implemented and is presently constantly in operation, giving
250 24h ahead scheduling results for the dispatch centre operators of S. Miguel. The risk-based scheduling method
251 delivers suggestions for the hourly commitment of generators (8 thermal generators), risk of load shedding, risk
252 of wind shedding, and risk of operation below the technical minimum of the generating units. The risk-type
253 information contains probability of occurrence and expected value of the occurrence and associated cost. At
254 every hour, the dispatch centre operators ensure access to specific stochastic dispatch information, with
255 complete information for each generator, about individual suggestions for dispatch generation and related risks
256 and costs.

257 By knowing the characteristics of São Miguel's electric system and the characteristics of the available
258 resources (two geothermal plants, seven small run-of-the-river hydro plants, one thermal heavy-fuel power
259 plant and one wind farm), the dispatch of the generators follows a very simple process. The two geothermal
260 plants function as base-load units, as they work at constant power and not being capable to change their output
261 power and, consequently, such plants do not contribute to frequency and voltage regulation. Since the run-of-
262 the-river hydro plants are small they are of negligible importance given the system size. Such systems operate at
263 constant power depending on the available resource at each time interval. In this island storage dams do not yet
264 exist.

265 The remaining power plants are the wind and the thermal power plant. It is essential to keep in mind that,
266 very frequently, in low-load periods during night time the wind farm output is curtailed as a result of the
267 saturation of the load diagram with renewable production which is mostly geothermal. The geothermal
268 production cannot be limited or shutdown on a regular basis and due to the necessity to have several thermal
269 generators operating and respecting their technical minimum in order to guarantee that enough spinning
270 reserve is available.

271 The dispatch operators assess the expected system load and the system behaviour as far as one hour in
272 advance and they offer instructions to the thermal power plant to start or stop the generators, regardless of the
273 size.

274 No secondary reserve is deployed for the reserves identification. The system functions with a spinning
275 reserve ratio always superior to 15-20%. Below this redline the dispatch operators instruct the thermal power
276 plant to start-up supplementary generators. Also, a different characteristic that can influence the determination
277 of the spinning reserve level is the real-time wind farm production. However, such action also highly depends
278 on the sureness of the operators.

279

280 *B. RES management*

281 At present, since the power system on the island is particularly simple and the entire renewable and thermal
282 production belongs directly or indirectly to the System Operator, the administration of the system, in what
283 concerns this matter, is in fact quite simple. To begin with, there is not a presence of urgency for RES
284 curtailment depending on the voltage level. The sole RES production typically curtailed is the one produced by
285 the wind farm and it frequently takes place during low-load periods, as mentioned before. In such a case, the
286 dispatch operators transmit a specific set-point to the wind farm with the purpose of restricting its maximum
287 production, each time when it is required. The hydro and the geothermal power stations are prioritised
288 regarding power production due to the characteristics of their output since it is exceptionally constant when
289 compared to the wind farm power output that is much more uncertain and variable. Additionally, the technical
290 features of the geothermal plants do not allow and/or do not recommend for changing its power output and/or
291 starting/stopping recurrently.

292

293 **4. Part 1: Performance Comparison of Electrochemical Batteries**

294 **4.1 Modelling Approach**

295 Many methods can be utilised to model the operation of a battery and each method highlights precise
296 operational characteristics: electrical, electrochemical and mechanical models. In the case of the electrochemical
297 models – more importance is given to the electrochemistry of the active types and their contact with each other

298 and with the interior membranes of the battery cells. As for the mechanical and electrical models – a black-box
299 method is followed by them and thus it is analysed the interaction of the battery with the system of which is a
300 part of.

301 Even though mechanical models have a higher importance when it comes to decide the installation and
302 operational safety for batteries, the electrical models tend towards the assessment of the ability of incorporating
303 the battery as an element in the electricity supply chain.

304

305 *A. Electrochemical Model*

306 The most important electrochemical model is inspired on Randles' equivalent scheme. It is made of a serial
307 resistance R_s that symbolises the ohmic voltage drops in both electrolyte and electrode. The capacitance C_{DL}
308 often called electric double layer capacitance represents the space charge which is manifested at the electrode-
309 electrolyte interface.

310 Such type of charge is produced by the difference of internal potentials the electrolyte and electrode. Due to
311 the low charge density in the electrolyte the correlation between both is nonlinear [41]. A different modelled
312 parameter applies to the electrode voltage at thermodynamic equilibrium, labelled as the voltage source E_{th} . In
313 conclusion, impedance designation Z_F defines the charge transfer effect at the electrode-electrolyte interface
314 with the active material diffusion in electrolyte and electrode. In [42] it is possible to observe the equations of
315 the electrochemistry which are seen as the foundation to the calculation of Randles' parameters.

316

317 *B. Thevenin Model*

318 Thevenin model is the most popular one since its depiction is considerably intuitive from the electrical point
319 of view. A DC voltage source in series with a resistance is the representation of such battery model. On the
320 other hand, leading to increased modelling complexity are the charge transfer occurrences associated with its
321 own time constants. Due to the electric double layer phenomenon and in order to represent transient behaviour
322 correctly, one or more resistor-capacitor circuit (RC) networks can be incorporated [43].

323

324

325 *C. Advanced Thevenin Models*

326 In order to elaborate a more accurate and advanced model of battery behaviour internal parameters have to
327 be formulated considering the state of charge (SOC) dependency, parameters such as internal series resistance
328 dependence on SOC or in the form of DOD and open circuit voltage (OCV) as a function of SOC [44]. Through
329 the means of third-order polynomial curves for various discharge currents a different approach defines the
330 battery voltage versus SOC [45]. By implementing the same method, the polynomial description includes two
331 RC parallel networks for short and long time constants [46]. In such a model both electrochemical resistance and
332 storage capacitance are approximated as continuous functions of OCV. The possibility of foreseeing both
333 charging and discharging behaviour can be encountered in [47].

334 In cases such as the identification of parameters regarding Thevenin-based models, the techniques can be
335 split into iterative numerical optimisation (e.g. [48], [49]) and online identification [50]. The iterative
336 identification tools implement genetic and nonlinear least squares estimation algorithms which in turn require
337 initial assumptions. The number of parameters to be assumed is generally high. The estimations required for
338 starting the identification process made at the beginning are the main drawback of such methods. In other
339 words, an incorrect guess could eventually become a local minimum. Additionally, the time spent on iterative
340 simulations is also a disadvantage for a precise identification.

341

342 *D. Zimmer Model*

343 The Zimmer model was initially created in order to model the NiCd battery. However, more recently other
344 electrochemical battery categories are under study using such type of model [51]. The equivalent circuit consist
345 of two RC networks: one models the diffusion phenomenon and the other network defines the electrochemical
346 ES. Additionally, every RC network parameters displays a dependence on SOC, temperature and current.

347

348 *E. Harmonic Model*

349 Created via signal excitation to obtain a harmonic response is the electrochemical accumulator model.
350 Namely, by combining experimental impedance spectra with a numerical identification method a nonlinear
351 equivalent circuit as function of load pulse frequency can be achieved. Such technique is researched in several

352 studies for testing NiMH batteries [52], PbA batteries [50], [53], and Li-ion batteries [48]. Despite the fact, the
 353 same modelling method is possible to be utilised to set up the electrical behaviour regarding a proton exchange
 354 membrane fuel cell in which the diffusion impedance is modelled by two RC cells [49]. The harmonic model
 355 methodology creates fundamentally small signal models and this could be a limitation in large signal conditions
 356 due to the nonlinearities of the electrochemical batteries. Thus, as a result of the dependence of SOC on battery
 357 behaviour, it is highly demanding to have a result of an equivalent circuit at a mean current that is not zero.

358

359 4.2 NiCd battery

360 The electrochemical ES of this type is approximated by a Paatero model [53]. The terminal voltage consists of
 361 two parts. The open-circuit voltage U_{ocv} is given by:

$$362 \quad U_{ocv}^k = a + b \times DOD_{Bat}^k + (c + d \times DOD_{Bat}^k) \times T^k \quad (1)$$

363 in which T^k represents the battery temperature at time instant k , DOD_{Bat}^k expresses the DOD at time instant k
 364 and where the a , b , c and d are constants to be found by laboratory tests. The second part of the terminal voltage
 365 expression is related with the calculation of overpotential voltage U_{op}^k as:

$$366 \quad U_{op}^k = x_1 + x_2 T^k + x_3 DOD_{Bat}^k + x_4 \left| I_{Bat}^k \right| + \frac{x_5}{\left| I_{Bat}^k \right|} + (x_6 e^{x_7 DOD_{Bat}^k} + x_8) \times (e^{x_9 T^k} + x_{10}) \times \left| I_{Bat}^k \right| + x_{11} \tan(x_{12} DOD_{Bat}^k + x_{12}) \quad (2)$$

367 in which the battery current at time instant is represented by I_{Bat}^k and the parameters to be determined in
 368 conjunction with the constants referred to Eq. 1 are represented by the x_i . In case of this study such constants are
 369 based on experimental data available in [53]. Then, merging Eq. 1 and Eq. 2, the battery terminal voltage U_{Bat}^k
 370 will be:

$$371 \quad U_{Bat}^k = U_{ocv}^k - U_{op}^k \quad (3)$$

372 The battery capacity at time instant k is modelled as:

$$373 \quad C_{Bat}^k = d_1 + e_1 \times I_{Bat}^k + f_1 \times \arctan(g_1 + h_1 I_{Bat}^k) \quad (4)$$

374 in which d_1 , e_1 , f_1 , g_1 and h_1 are defined as constants as stated in [53]. DOD_{Bat}^k is updated considering past DOD

375 $\frac{1}{C} I_{Bat}^k \times \Delta t$ and present Coulomb-counting:

376
$$DOD_{Bat}^k = DOD_{Bat}^{k-1} + \frac{1}{C_{Bat}^k} I_{Bat}^k \times \Delta t \quad (5)$$

377

378 4.3 NiMH battery

379 Electrical circuit model for a single battery is presented in Figure 1, which is composed by two groups of
 380 capacitor and resistor networks and an internal resistance R_Ω . The $R_D C_D$ circuit is used to model the effects on
 381 the surface of the electrodes. The other pair, $R_K C_K$, takes into account the diffusion processes in the electrolyte
 382 [54]. Both RC networks are used to emulate the battery I-V transient response. The first RC network provides
 383 the short-time transient response while the second RC network mimics the long-term transient behaviour.

384

385 "Figure 1 can be observed at the end of the document".

386

387 Determination of R_Ω , R_D and R_K is performed applying a known load at a constant discharge current
 388 modulated as current pulse.

389 The voltage variation at battery terminals is used to measure the voltage components U_Ω , U_D and U_K
 390 associated to R_Ω , R_D and R_K . Finally, C_D and C_K electrical parameters are identified by measuring the time
 391 constants τ_D and τ_K with the modulate current.

392 As a result, U_{Bat}^k can be expressed as follows:

393
$$U_{Bat}^k = U_\Omega + U_D \left(1 - e^{-\left(\frac{k}{\tau_D}\right)} \right) + U_K \left(1 - e^{-\left(\frac{k}{\tau_K}\right)} \right) \quad (6)$$

394 Knowing the battery U_{ocv} a relation can be found to correlate with the NiMH battery SOC. Since the
 395 relationship between these two battery parameters is non-linear, a piecewise linearization strategy can be
 396 adopted as suggested in [55].

397
$$SOC_{Bat}^k = \begin{cases} a_1 U_{ocv}^k + b_1, & 0 \leq U_{ocv}^k \leq 0.1 \\ a_2 U_{ocv}^k + b_2, & 0.1 < U_{ocv}^k < 0.8 \\ a_3 U_{ocv}^k + b_3, & 0.8 < U_{ocv}^k \leq 1 \end{cases} \quad (7)$$

398 Alternatively, SOC_{Bat}^k can be described involving measured electrical quantities and estimated internal
 399 constants. For discharging mode is defined as:

$$400 \quad SOC_{Bat}^k = a_i U_{Bat}^k + a_i I_{Bat}^k (R_{\Omega} + R_D + R_K) + a_i I_{Bat}^k R_D e^{\frac{-k}{\tau_D}} + a_i I_{Bat}^k R_K e^{\frac{-k}{\tau_K}} + b_i \quad (8)$$

401 While for charging regime is evaluated by:

$$402 \quad SOC_{Bat}^k = a_i U_{Bat}^k - a_i I_{Bat}^k (R_{\Omega} + R_D + R_K) - a_i I_{Bat}^k R_D e^{\frac{-t}{\tau_D}} - a_i I_{Bat}^k R_K e^{\frac{-t}{\tau_K}} + b_i \quad (9)$$

403

404

405 4.4 Li-ion battery

406 In case of the electric circuit modelling for Li-ion batteries, in [56] is presented the arrangement that can be
 407 observed in Figure 2 in which R_t is the internal resistance that includes all the resistances between electrodes
 408 while $R_s C_s$, $R_f C_f$ and $R_m C_m$ are the circuit time constants. R_t basically depends on I_{Bat}^k and consequently, it is
 409 assessed by the equation presented below:

$$410 \quad R_t^k = 2.4572(I_{Bat}^k) - 0.6101(I_{Bat}^k) + 5.2497 \quad (10)$$

411 Parameters related to battery dynamic response are modelled by a quadratic relationship with SOC_{Bat}^k .

$$412 \quad R_s^k = 72.42(SOC_{Bat}^k)^2 - 104.15SOC_{Bat}^k + 39.51, \quad 0.525 < SOC_{Bat}^k \leq 1 \quad (11)$$

$$413 \quad R_s^k = 96.57(SOC_{Bat}^k)^2 - 67.64SOC_{Bat}^k + 13.69, \quad 0 \leq SOC_{Bat}^k \leq 0.525 \quad (12)$$

$$414 \quad R_m^k = 48.98(SOC_{Bat}^k)^2 - 72.24SOC_{Bat}^k + 30.12, \quad 0.575 < SOC_{Bat}^k \leq 1 \quad (13)$$

$$415 \quad R_m^k = 23.28(SOC_{Bat}^k)^2 - 16.18SOC_{Bat}^k + 5.24, \quad 0 \leq SOC_{Bat}^k \leq 0.575 \quad (14)$$

$$416 \quad R_f^k = 11.76(SOC_{Bat}^k)^2 - 17.59SOC_{Bat}^k + 9.78, \quad 0.575 < SOC_{Bat}^k \leq 1 \quad (15)$$

$$417 \quad R_f^k = 1.41(SOC_{Bat}^k)^2 - 1.72SOC_{Bat}^k + 2.11, \quad 0 \leq SOC_{Bat}^k \leq 0.575 \quad (16)$$

418 "Figure 2 can be observed at the end of the document".

419 And short and long time constants calculations are expressed by:

$$420 \quad \tau_s^k = \frac{1}{9.74(SOC_{Bat}^k)^2 - 14.01SOC_{Bat}^k + 6.09}, \quad 0.525 < SOC_{Bat}^k \leq 1 \quad (17)$$

$$421 \quad \tau_s^k = \frac{1}{8.03(SOC_{Bat}^k)^2 - 5.15SOC_{Bat}^k + 1.91}, \quad 0 \leq SOC_{Bat}^k \leq 52.5\% \quad (18)$$

$$422 \quad \tau_m^k = \frac{1}{-20.94(SOC_{Bat}^k)^2 - 34.57SOC_{Bat}^k - 2.65}, \quad 0.575 < SOC_{Bat}^k \leq 1 \quad (19)$$

$$423 \quad \tau_m^k = \frac{1}{57.47(SOC_{Bat}^k)^2 - 56.42SOC_{Bat}^k + 23.74}, \quad 0 \leq SOC_{Bat}^k \leq 57.5\% \quad (20)$$

$$424 \quad \tau_f^k = \frac{1}{240.43(SOC_{Bat}^k)^2 - 371.62SOC_{Bat}^k - 220.03}, \quad 0.575 < SOC_{Bat}^k \leq 1 \quad (21)$$

$$425 \quad \tau_f^k = \frac{1}{451.9(SOC_{Bat}^k)^2 - 383.26SOC_{Bat}^k + 156.8}, \quad 0 \leq SOC_{Bat}^k \leq 57.5\% \quad (22)$$

426 The fact that the SOC depends on U_{ocv} creates the necessity of experimental data with several battery current
 427 levels. This type of relation can be observed in [57]. It is evident that a variety of battery current conditions can
 428 be defined by a single curve fitting. Thus, the battery voltage is obtained from Eq. 23, 24
 429 and 25.

$$430 \quad U_{R_s \parallel C_s}^k = I_{Bat}^k R_s^k (1 - e^{\frac{-k}{\tau_s}}) + V_{sn0} e^{\frac{-k}{\tau_s}} \quad (23)$$

$$431 \quad U_{R_m \parallel C_m}^k = I_{Bat}^k R_m^k \left(1 - e^{\frac{-k}{\tau_m}}\right) + V_{mn0} e^{\frac{-k}{\tau_m}} \quad (24)$$

$$432 \quad U_{R_f \parallel C_f}^k = I_{Bat}^k R_f^k \left(1 - e^{\frac{-k}{\tau_f}}\right) + V_{fn0} e^{\frac{-k}{\tau_f}} \quad (25)$$

433 where V_{sn0} , V_{mn0} and V_{fn0} are the initial voltage at C_s , C_m and C_f respectively. Then, battery output U_{Bat}^k takes the
 434 form:

$$435 \quad U_{Bat}^k = U_{oc}^k - I_{Bat}^k R_v^k - U_{R_s \parallel C_s}^k - U_{R_m \parallel C_m}^k - U_{R_f \parallel C_f}^k \quad (26)$$

436

437 4.5 PbA battery

438 By utilising one series resistance R and a single RC block for transient behaviour an electric network for
 439 modelling PbA type batteries can then be constructed. However, when operating at low charge/discharge, an

440 additional RC block provides a better accuracy [58]. However, this representation does not consider the
 441 irreversible reactions that take place due to the electrolysis of water when the charging is ending.

442 A model description that takes into account this internal loss mechanism is proposed in [59] through the
 443 inclusion of a parasitic branch that soaks some of the input current when the battery has been charged.

444 The equivalent electric network model is shown in Figure 3 where R_0 is the polarisation resistance, R_1C_1 is the
 445 short-term transient response, R_2C_2 is the long-term response, I_{Bat_m} is the current in the main branch and I_{Bat_p} is
 446 the parasitic branch current.

447

448 "Figure 3 can be observed at the end of the document".

449

450 In such type of model the elements of this circuit do not always depend on electrolyte temperature and
 451 battery SOC. On the other hand, it is assumed that time constants τ_1 and τ_2 remain unchanged.

452 U_{ocv}^k in equation 27 is defined as a electrolyte temperature θ^k and function of SOC.

$$453 \quad U_{ocv}^k = U_{ocv}^0 - K_E (273 + \theta^k) (1 - SOC_{Bat}^k) \quad (27)$$

454 The temperature has no influence on the internal parasite resistances which are only affected by SOC.

$$455 \quad R_0^k = R_{00} [1 + A_o (1 - SOC_{Bat}^k)] \quad (28)$$

$$456 \quad R_1^k = -R_{10} \ln(SOC_{Bat}^k) \quad (29)$$

$$457 \quad R_2^k = R_{20} \frac{\exp[A_{21}(1 - SOC_{Bat}^k)]}{1 + \exp\left(\frac{A_{22} I_{Bat_m}^k}{I_{Bat}^N}\right)} \quad (30)$$

458 in which I_{Bat}^N is the nominal battery current, $I_{Bat_m}^k$ is the current flowing in the main branch and U_{ocv}^0 , K_E , R_{00} , A_o ,

459 R_{10} , R_{20} , A_{21} , A_{22} , are constants acquired from battery experimental tests. Dependence of the $I_{Bat_p}^k$ on the $U_{Bat_p}^k$ is

460 governed by a strong non-linearity. On way is to approximate through the Tafel gassing current equation [60]:

$$461 \quad I_{Bat_p}^k = U_{Bat_p}^k G_{po} \exp \left(\frac{U_{Bat_p}^k}{V_{po} + A_p \left(\frac{1 - \theta^k}{\theta_f} \right)} \right) \quad (31)$$

462 in which G_{po} , V_{po} , A_p are constants assessed by experimental procedures, $U_{Bat_p}^k$ is the voltage at parasitic branch,
 463 θ_f represents the electrolyte freezing temperature and θ^k is the electrolyte temperature at time k .

464

465 4.6 Case Study

466 In this section the set of electrochemical ES under study are subject to a comparative assessment through a
 467 frame of metrics of evaluation. Such merit figures provide an insight on the charging and discharging capability
 468 of the batteries according to different arranges. In one case, the ES structures performance considering a
 469 variable number of battery cells is investigated. In other case, it is explored the performance impact as function
 470 of the number of parallel strings. In addition, an analysis is performed concerning the impact of the sizing of the
 471 storage structures with a fixed number of cells.

472 The models are combined in cell banks and imitate an ES that has to respond to the demands of the grid. Thus,
 473 the operation strategy works by charging the battery with the excess generated energy at times of low demand
 474 with the purpose of being released at times of high demand. In this sense the batteries charge solely to eliminate
 475 renewable curtailment. The basic battery features for modelling parameters are shown in Table 3 [57] [61].

476

477 "Table 3 can be observed at the end of the document".

478

479 A. Metrics of evaluation

480 The electrochemical storage technologies under analysis are characterised by two performance merit figures.
 481 One deals with their ability to storage the wind power in excess when available and the other with the response
 482 capacity to demand needs. In this sense, it is proposed the storage efficiency index (*SEI*) and demand response
 483 index (*DRI*) which respectively calculate the percentage of charging and discharging excess power of the
 484 battery.

485

$$SEI = \frac{\sum E_{Bat_{IN}}^k}{\sum E_{WP_{Gen}}^k - \sum E_{WP_{Grid}}^k} \quad (32)$$

486

$$DRI = \frac{\sum E_{out}^k}{\sum E_{Dem}^k - \sum E_{WP_{Grid}}^k} \quad (33)$$

487

where $\sum E_{Bat_{IN}}^k$ is the energy counting referring to the battery input, $\sum E_{out}^k$ is the energy counting referring to

488

the battery output, $\sum E_{WP_{Gen}}^k$ is the gross wind power generation, $\sum E_{Dem}^k$ is the energy consumption referring to

489

the final consumers and $\sum E_{WP_{Grid}}^k$ is the wind power consumed by the grid.

490

491

B. Single string with fixed number of cells

492

To evaluate the capability of different battery types for large-scale ES each model is executed by means of the

493

same initial parameters but adjusting the battery type variable in each case. The SOC for each type is initially set

494

at 20% and in this test each BESS is designed with 500 identical cells. The outcomes are provided in Table 4 and

495

Table 5 which show the final SOC at the end of the time horizon.

496

The acquired capability indicators show how the low charge and discharge rates of the PbA battery

497

significantly decrease its performance, signifying that it will not efficiently utilise the generated power to meet

498

the demand. The battery with the lowest cyclic performance reduction and therefore the longest life is the NiCd,

499

which has the highest SEI and DRI numbers. NiCd also generates a high final SOC, signifying that the battery is

500

'self-sufficient' within the time period so is less likely to necessitate an occasional 'booster' charge from an

501

external source. Measuring the final SOC is not, however, a realistic method for assessing the battery

502

performance as it will be offset by the periods of time at which the battery is at minimum or maximum capacity.

503

504

"Table 4 can be observed at the end of the document".

505

"Table 5 can be observed at the end of the document".

506

507

508

509 *C. Single string with variable number of cells*

510 The comparison of performances of the studied batteries regarding São Miguel Island is shown in both
511 Figures 4 and 5. As can be observed in the aforementioned scenarios the SEI indicator increases with the
512 number of cells until a limit is reached. As for DRI performance, the NiCd battery is the single battery type
513 which can conserve 100% DRI, even though Li-ion and NiMH are considerably close. The corresponding
514 simulations associated to Crete are shown in Figure 6 and Figure 7.

515

516 "Figure 4 can be observed at the end of the document".

517 "Figure 5 can be observed at the end of the document".

518 "Figure 6 can be observed at the end of the document".

519 "Figure 7 can be observed at the end of the document".

520

521 According to the results of this study, the PbA battery seems to be the least appropriate for both power grids.
522 To maintain demand capability with any battery except PbA the number of cells could be as low as five. To
523 make an effective utilisation of the storage capacity and keep as much generated energy as possible an
524 appropriate number of cells would be three strings of 10 (São Miguel).

525 In case of larger systems, the required number of cells may need to be increased. In the case of Crete, since
526 requires a much larger size, approximately 2000 cells would be needed.

527 Regardless of performing better, NiCd batteries need to be handled with caution since they are built with
528 heavy metals: cadmium and nickel. Both pose a threat to human health and the environment. Such batteries
529 also suffer from what is called lazy battery effect which prevents them to receive more charge [61]. However,
530 this is not a technical limitation anymore if adequate maintenance procedures are used as part of the ES
531 management system.

532

533 *D. Configuration in parallel strings*

534 In this subsection both indicators are evaluated from an angle of arrangement of cells in strings (each string is
535 made by 120 cells of the battery in series). The Li-ion battery model is used as a comparison for both islands.

536 Figure 8 and 9 show the DRI and SEI performance in function of number of strings. In the case of São Miguel
537 system, DRI maximum is achieved for range of strings up to 10. Above this number the power storage is
538 oversized which is reflected in the degradation of the indicator performance due to the reason of the cells being
539 partially utilised. Thus, the curtailment power from RES that can be stored in this number of cells is manifestly
540 low in face of the additional storage power. Therefore, the response capacity (DRI) of the battery compound
541 diminishes concerning the expected storage capacity. Naturally, Crete has a significantly bigger island and by
542 having a more complex electricity grid and also has a higher penetration of RES intermittent energy. Therefore,
543 the DRI response remains high by surpassing 90% for the large majority of the studied combination number of
544 strings. Certainly, if the window of the studied number of strings is increased the DRI decline will follow a
545 similar tendency as São Miguel.

546 The SEI versus the number of strings can be seen in Figure 9. It is observable for the BESS performance in the
547 case of São Miguel the capture rate for the storage is superior until a certain limit since the majority of the
548 curtailed wind power is effectuated during the night-time period where a reduced consumption is verified. On
549 the other hand, in Crete the scenario is more complex due to the reason that depending on the time of the year
550 and the period of the day harnessing the excess of energy is highly restricted as mentioned in [23]. For instance,
551 in January, the wind generation happens to be more active during the night and consequently exceeding, by a
552 factor of two, several times the level of wind curtailment in comparison with the rest of period of the day. This
553 highlights the fact that during the winter season the installed wind capacity is excessive during periods of low
554 loads. On the other hand, during summer months such as August, the wind curtailment profile displays an
555 inverse tendency since the curtailment peaks are higher during the day than during the night. This explains
556 why the SEI has a lower rate in the case of Crete when compared with São Miguel.

557

558 "Figure 8 can be observed at the end of the document".

559 "Figure 9 can be observed at the end of the document".

560

561

562

563 5. Part 2: Sensitivity Analysis of Battery Design Parameters

564 5.1 Description of the PbA battery

565 Obtained through experimental tests in [62], the modelling approach chosen provides a direct way to relate
566 SOC and battery current to battery service temperature.

567

568 A. Usable chemical capacity

569 A requirement for electrochemical ES device is its ability to satisfy power/energy constraints of a specific
570 application. The energy available in a battery, designated as the battery capacity is quantified in ampere-hours
571 (Ah) or in watt-hours (Wh) which is calculated by integral of battery voltage multiplied by current over the
572 discharge period. On the other hand, usable capacity can be defined as the capacity available under the known
573 load conditions until voltage reaches the minimum acceptable voltage without causing permanent damage to
574 the battery.

575 Additionally, the actual temperature environment of a device has a significant influence on battery's internal
576 impedance, which in turn has an impact on usable capacity. Usable capacity estimation is adopted in the
577 present study as in the following equation [62] [63]:

$$578 \quad C_{Bat}^k = \frac{C_{Bat}^N}{1 + 0.67 \left(\frac{|I_{Bat}^k|}{I_{10}} \right)^{0.9}} \quad (34)$$

579 where I_{10} is the current used to discharge the battery in 10 hours, nominal capacity is expressed by C_{Bat}^N , C_{Bat}^k is
580 the ampere-hours capacity at instant k and I_{10} is the discharge current referred to a time period of 10h at 25°C.

581 In turn, C_{Bat}^N is given by:

$$582 \quad C_{Bat}^N = 1.67C_{10}(1 + 0.005\Delta T) \quad (35)$$

583 where ΔT is the present temperature subtracted from the temperature reference at 25°C and C_{10} is the battery
584 capacity when it is discharged in 10 hours.

585

586

587

588 *B. Chemical capacity degradation modelling*

589 Power rate and capacity characteristics of an electrochemical energy storing device tend to fade as the battery
 590 ages. Many aspects of how it is operated determine the evolution of the energy storing capacity deterioration.
 591 Not only how often the electrochemical storing device is cycled contributes to its aging, but also the charge and
 592 discharge rates, its charge level, operation in a wide range of temperatures and environmental conditions [64]
 593 [65]. Furthermore, the load cycle properties have also a critical role on this process. That is to say, if it is
 594 allowable for the battery to be operated at extremes states, i.e., over-charged or under-charged, or if the nature
 595 of load requires high-current pulses or steady discharging [66].

596 The capacity fade phenomenon happens when the electrode active materials start to lose their properties
 597 along with growing corrosion of their elements. In the corrosion process the lead based electrode will be
 598 gradually converted into lead dioxide (PbO₂) and lead (II) oxide (PbO). A visible consequence of undergoing
 599 oxidation is the rise of the internal impedance of the cells [67].

600 Due to the great effort to gather such data, developing a full model to predict battery failure is a complex
 601 matter. While the others aforementioned factors have an important role in the cell aging mechanisms, the active
 602 material losses are inherently related with consecutives discharging and charging operations of the PbA battery.
 603 Therefore, power cycling has a major impact on the loss of chemical capacity and impedance increase [68].
 604 Hence, lifetime estimation in this paper adopts the traditional approach based on cycle counting at specific
 605 DOD that would lead to a certain capacity fade. In this context, there are several published studies. For instance,
 606 batteries testing based data plots can be consulted in [69] revealing the energy pattern cycled at different power
 607 levels for a wide range of DODs. This kind of plot is commonly built as indicative lifetime tool. It can be
 608 generally approached by the equation given bellow [70]:

$$609 \quad F_{cy} = a_1 + a_2 e^{-a_3 DOD} + a_4 e^{-a_5 DOD} \quad (36)$$

610 where F_{cy} are the cycles for a specific DOD that lead the battery to failure and a_i are the model parameters
 611 which can be found in [70].

612 Usually, the conventional practice by the battery manufactures to declare the battery end-of-life consists in
 613 establishing a figure for the capacity permanent reduction of its rated capacity. Some manufacturers propose as
 614 reference number a reduction of 40%. Others suggest using a lower capacity reduction to 20%. In this sense,

615 several studies demonstrate that for this interval the capacity loss of the battery decreases almost linearly [71]
 616 [72] [73]. In the present study, the end-of-life is set to 40% reduction. It is intended to establish a linear between
 617 the value of the capacity fade and the number of cycles of the battery activity for this operational range. The
 618 calculation of the capacity degradation is performed by the following expression:

$$619 \quad C_{loss} = C_{Bat}^N \left[1 - \left(\frac{0.4}{F_{40\%}} \right) \cdot N_{cy} \right] \quad (37)$$

620 where C_{Bat}^N designates the nominal capacity, $F_{40\%}$ are the cycles correspondent to a reduction of 40% in the
 621 battery capacity and N_{cy} refers to the cycle counting.

622

623 C. Coulomb efficiency

624 It is a merit figure that performs a ratio between the numbers of charges delivered to battery in charging
 625 mode with regards to the number of charges released in discharging mode.

626 Coulombic efficiency's reduction main cause has to do with the separation of water into oxygen called water
 627 electrolysis, making the electrons movement more and more difficult in an electrochemical system. Normally
 628 this efficiency surpasses the 95%. According to [74] the discharging efficiency can be modelled with losses as
 629 shown in the following equation:

$$630 \quad \eta_d = 1 \quad (38)$$

631 By considering the opposite state, that is to say when it is being charged, the effective charges that are
 632 converted into stored electrochemical energy is conditioned by the battery SOC and charge current. If SOC is
 633 low, it means that the charging efficiency is almost complete. On the contrary, proceeding to charge at a very
 634 high SOC the process efficiency deteriorates significantly. Such pattern is described as follows [74]:

$$635 \quad \eta_{ch}^k = 1 - \exp \left[\left(\frac{20.73}{\frac{I_{Bat}^k}{I_{10}} + 0.55} \right) (SOC_{Bat}^k - 1) \right] \quad (39)$$

636 In Figure 10 the charging efficiency curve can be observed as a function of the battery current.

637

638 "Figure 10 can be observed at the end of the document".

639 D. SOC

640 The battery charge level monitoring complies with the SOC formulation presented below:

$$641 \quad SOC_{Bat}^k = \begin{cases} \frac{Q}{C_{Bat}^k} \eta_{ch}^k, & I_{Bat}^k > 0 \\ 1 - \frac{Q}{C_{Bat}^k} \eta_{dis}^k, & I_{Bat}^k < 0 \end{cases} \quad (40)$$

642 where Q represents the charge in movement while C_{Bat}^k is the usable capacity at time instant k . The last three
643 equations can be merged into a single equation as follows:

$$644 \quad SOC_{Bat}^k = \begin{cases} SOC_{Bat}^{k-1} + \frac{Q}{C_{Bat}^k} \eta_{ch}^k, & I > 0 \\ SOC_{Bat}^{k-1} + \frac{Q}{C_{Bat}^k}, & I < 0 \end{cases} \quad (41)$$

645 The grid battery system SOC is subject to the constraint:

$$646 \quad 0.2 \leq SOC_{Bat}^k \leq 0.9 \quad (42)$$

647

648 E. Battery terminal voltage

649 Current-voltage response follows a battery model proposed in [49]. The supplying charge battery terminal
650 voltage is given by:

$$651 \quad U_{Bat_dis}^k = n_{cell} \cdot [1.965 + 0.12 \cdot SOC_{Bat}^k] - n_{cell} \frac{|I_{Bat}^k|}{C_{10}} \cdot \left(\frac{4}{1 + |I_{Bat}^k|^{1.3}} + \frac{0.27}{(SOC_{Bat}^k)^{1.5}} + 0.02 \right) \cdot (1 - 0.007 \cdot \Delta T) \quad (43)$$

652 The switching to charging mode voltage output is represented by:

$$653 \quad U_{Bat_ch}^k = n_{cell} \cdot [2 + 0.16 \cdot SOC_{Bat}^k] + n_{cell} \frac{I_{Bat}^k}{C_{10}} \cdot \left(\frac{6}{1 + (I_{Bat}^k)^{0.86}} + \frac{0.48}{(1 - (SOC_{Bat}^k))^{1.2}} + 0.036 \right) \cdot (1 - 0.025 \cdot \Delta T) \quad (44)$$

654 where the number of battery cells is given by n_{cell} .

655

656 5.2 Case study

657 In this section are presented the results of the simulations carried out with the PbA BESS model. The findings
658 cover charge distribution over a sample of cells arranged in series, single string versus multiple string
659 arrangement and battery capacity loss. Finally, a BESS operating criterion is evaluated in order to increase the
660 battery life time through lower battery cycling activity.

661 The virtual battery plant is made of 20 batteries, each one being designed with 48 cells. For the battery the
662 rated capacity is 96Ah. All the storage capacity reaches 1920Ah. The battery management method consists in
663 storing the wind power not absorbed by the grid at low-peak hours when the demand is reduced. In the hours
664 when the consumption is higher the energy is released. The load demand and power generation data were
665 sampled every 15 minutes. This means the readings are assumed as constant until the next sampling time. The
666 PbA battery modelling parameters are depicted in Table 6 [57] [61].

667

668 "Table 6 can be observed at the end of the document".

669

670 **5.2.1 Electrochemical cell organisation in strings**

671 Due to the intermittence of wind power in terms of duration and magnitude, full charging of the batteries
672 may not be possible if the size is not carefully selected. For instance, in short time periods, it is likely that parts
673 of the cells are more stressed in terms of charging cycles in comparison to other cells of the same battery.
674 Consequently, due to a higher cycling activity some of the cells will age early jeopardizing the battery
675 performance with a premature reduction of storage capacity. Having that said, cell organisation impact is
676 assessed in this context by thoroughly examining mono-string versus multiple-string structures. Furthermore,
677 the simulations are performed with initial SOC mismatches among the cells of the same structure.

678

679 *A. Mono string*

680 In this configuration a structure of 48 PbA cells are serialized and subject to successive charge cycles. The
681 SOC of every cell is tracked and the cycling activity is registered. Figure 11 shows the evolution of SOC for
682 every cell. The flux line based representation highlights what happens for the cells in the chain. For the ideal
683 case, in which energy capacity is identical for all cells, charging process is done sequentially cell by cell.

684

685 "Figure 11 can be observed at the end of the document".

686

687 As it can be seen, the nearest cells to the positive electrode are charged, in average, above 98% of their
688 nominal capacity. On the other hand, the cells at the end of the string are penalised by their location, receiving
689 much less charge. In fact, some of them are not being charged at all.

690 Equally, in Figure 12 the cycling activity can be seen. When charging the frailest cells, regarding capacity,
691 these are the first to fill up since there is less to fill. Similarly, as the battery changes its operation to release
692 charge, the first cells to become empty are those whose capacity is lower among the electrochemical set. In sum,
693 the cells characterised by a lower capacity will drain faster and thus, accelerating their capacity loss rate, given
694 that the cycling activity is more intense in relation to the higher capacity of the strongest cells.

695

696 "Figure 12 can be observed at the end of the document".

697

698 *B. Parallel strings*

699 Three strings comprising 16 cells each one make up the test assembly. Figure 13 and Figure 14 depict cells
700 SOC and respective cycling activity. As expected the charge distribution observed in a cell string produces a
701 similar result discussed in previous item. Because of its parallel configuration the strings receive an identical
702 charge profile.

703 The organisation of the cells in parallel strings offers a higher charge rate. In other words, for the same
704 number of electrochemical cells more wind power surplus energy can be processed and converted into stored
705 chemical energy. As for cycling activity, Figure 14 supports the point of view of a more efficient allocation of
706 charge distribution.

707

708 "Figure 13 can be observed at the end of the document".

709 "Figure 14 can be observed at the end of the document".

710

711 In sum, the cell configuration in terms of connection has a significant impact on charge distribution by
712 increasing the use of certain cells to the detriment of others. Then, it is expected a decrease in BESS usable

713 capacity due to a non-uniform cycling activity. In addition, cells parallel arrangement offers a higher
714 performance.

715

716 **5.2.2 Renewable energy surplus level based charging criterion**

717 Maintaining high cycling activity, independently of the battery SOC, to support as much as possible the grid
718 with ES from surplus wind power will accelerate the electrochemical ES degradation due to the chemical
719 capacity loss. Moreover, even if the battery systems are operated at a high partial SOC the capacity degradation
720 is unavoidable. Therefore, it is crucial to concentrate efforts on an operating strategy that meets the goal of
721 recovering as much as possible the wind power curtailment, and at the same time, able to restrict the charging
722 activity of the battery. To meet this challenge, one way is to define a wind power surplus level based charging
723 criterion instead of performing charging continuous actions whenever the condition $P_{Gen}^k > P_{Load}^k$ is met and the
724 batteries are not full charged. The charging criterion is implemented using as reference the renewable power
725 curtailment over the demand. Consequently, different levels of ratio can be evaluated by counting the cycles of
726 charge.

727 Figures 15 and 16 show the number of cycles executed when ratio based criterion is incremented up to 10%.
728 In the first figure is accounted the average cycle number. As for the second, it reveals the record concerning the
729 maximum number of cycles.

730

731 "Figure 15 can be observed at the end of the document".

732 "Figure 16 can be observed at the end of the document".

733

734 As can be seen, the criterion application led to a different cycling operation profiles for the two insular
735 systems. In both systems, an improvement on the battery life can be achieved. On São Miguel Island the impact
736 is dramatic, providing an economy higher than 80% if the criterion is chosen above the 4%. However, for the
737 Crete Island the performance result is, in fact, far more modest around 10% considering the same range of
738 percentage based criterion.

739 On the other hand, assigning a specific value for the criterion needs to take into account the maximisation of
740 the installed capacity which means a high SOC is desirable. Considering the same range of values for the
741 criterion, average SOC regarding the Crete insular system was examined and the outcome is presented in
742 Figure 17. As a starting point, the BESS is charged initially at 35%. From the plot, it is clear a steep drop in SOC
743 if the criterion goes above the 6%. By choosing the highest segment of the curve we get a battery system almost
744 under exploited with 90% of the capacity to be wasted, but if the criterion based operating strategy is ignored
745 the high number of cycling is expected to lead the storage facility to premature aging concerning its capacity
746 loss. An optimal solution that satisfies a compromise between a high partial SOC and extends the ES
747 operationally can be found by crossing the information provided by the Figures 15 and 17. In fact, as an
748 adequate charging criterion a ratio number about 4% allows the SOC to be close to 80%. In this respect, it is
749 considered the adequate choice.

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751 "Figure 17 can be observed at the end of the document".

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753 6. Conclusion

754 This paper has addressed the performance of electrochemical batteries to support grid with surplus wind
755 power in insular systems. São Miguel (Azores) and Crete (Greece) served as the study basis. Two
756 complementary investigations were thoroughly presented and discussed. The first part of the study focused on
757 four electrochemical ES technologies (Li-ion, NiCd, NiMH and PbA). The reason these four different chemistries
758 were chosen was to compare one of the most preferred solutions by the industry and academia (Li-ion) with the
759 most historically employed one for general applications (PbA) and with two relatively recent and not so
760 common chemistries (NiCd and NiMH). These last two had some drawbacks due to environmental reasons
761 (especially in the case of NiCd) and requirements of complex charging protocols, but have been gaining a
762 renewed interest since the new improved products commercialised by some enterprises have redirected the
763 improvements also to grid-scale energy storage uses. The foundation tools for this analysis were the electric
764 models provided by the literature. The comparison was made through two metrics that were developed in
765 order to evaluate and provide an insight on the charging and discharging capability of the analysed

766 technologies according to different arrangements - the SEI and DRI. The ES structures performance considering a
767 variable number of battery cells was investigated and the performance impact was explored as a function of the
768 number of parallel strings.

769 In addition, an analysis was performed concerning the impact of the sizing of the storage structures with a
770 fixed number of cells or as an alternative - a single string with variable number of cells. From the simulation
771 results the NiCd battery has shown a higher performance when compared to other chemistries under study.
772 This conclusion was supported on the basis of the metrics developed for this purpose. The Li-ion battery
773 technology has shown a slightly inferior performance due to its lower ability to respond to load demand for
774 identical storage size. Although the NiCd performed better, there are several issues to solve which are the
775 presence of the heavy metal cadmium element, toxic for health reasons, and the memory effect that requires an
776 elaborated ES management system. Both indicators are now used to compare the performance of Li-ion battery
777 according to the number of strings. In the case of Crete the DRI response remains high by surpassing 90% for
778 the large majority of the studied combination number of strings, while for São Miguel it is only maintained high
779 for a low number of strings and then drops. However, the SEI has shown a lower rate in the case of Crete when
780 compared with São Miguel since Crete is a bigger island. This happens due to the reason that harnessing the
781 excess of energy is highly complex since it depends on the time of the year and the period of the day.

782 In the second complementary research line, some design parameters (SOC and number of charging cycles for
783 mono and parallel strings) of the PbA battery were observed in order to assess their impact on ES applications.
784 The cell configuration in terms of connection showed a significant impact on the charge distribution by
785 increasing the use of certain cells in the detriment of others. Therefore, it is expected a decrease in BESS usable
786 capacity due to a non-uniform cycling activity, which will provoke an accelerated ageing of a part of battery
787 cells in disadvantage of others. In addition, cells parallel arrangement offers a higher performance. Since the
788 batteries' life time is one of most sensible project variables for justifying their deployment, a criterion to regulate
789 the ES bank cycling operation was proposed. The criterion works by triggering the battery energy transit on the
790 basis of certain excess of renewable energy. Thus, an excess factor of circa 4% can lower the number of
791 operation cycles by 10% for Crete and by 80% for São Miguel.

792

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Unit Technology		Unit Fuel	Number of Generating Units	Installed Capacity [MW]
Conventional	Steam	Fuel-Oil	7	198
	Combined-cycle gas turbine (CCGT)	Diesel	1	132
	Open-cycle gas turbine (OCGT)	Diesel	11	290
	Internal Combustion Engine (ICE)	Fuel-Oil	6	145
RES	Wind	-	32	194
	PV	-	-	96
	Small Hydro	-	1	0.3
	Geothermal	-	-	-
	Biogas	-	2	0.4
TOTAL				1055.7
Energy Consumption 2014 [MWh]		2,983,491		
Peak Demand 2014 [MW]		597.5		
Minimum Demand 2014 [MW]		170.2		

Table. 1 - Crete power system generation data

Unit Technology		Unit Fuel	Number of Generating Units	Installed Capacity [MW]
ICE		Heavy Fuel-Oil	8	98
RES	Wind	-	10	9
	PV	-	-	-
	Small Hydro	-	7	5
	Geothermal	-	5	24
	Biogas	-	-	-
TOTAL				136
Energy Consumption [MWh] (2014)		415,549		
Peak Demand [MW] (2014)		68.17		
Minimum Demand [MW] (2014)		29.35		

Table. 2 - São Miguel power system generation data

Type	Cycles (80%)	Charge Time (h)	Discharge Month (%)	Cost (\$/KWh)	Voltage (V)	Peak Drain (C)	Specific Energy (Wh/kg)	Specific Power (W/kg)	Rated Capacity (mAh)	Nominal C-Rate	Max C-Rate
Li-ion	500-1000	2-4	10	24	4.2	2	90-190	500-2000	5300	2C	20C
NiMH	300-500	2-4	30	18.5	1.25	5	45-80	200-1500	2300	0.5C-1C	1C
NiCd	1500	1	20	7.5	1.25	20	40-65	100-175	2800	0.1C	3C
PbA	200-2000	8-16	5	8.5	2	5	20-40	75-415	2000	0.2C	3.3C

Table 3 - Main features of the electrochemical batteries under review.

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	Lithium-ion	NiMH	NiCd	PbA
SOC	34.09	33.15	33.99	30.12
SEI	92.34	86.82	95.10	27.15
DRI	97.83	96.98	100	82.31

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Table 4 - Merit figures outcomes: Azores.

	Lithium-ion	NiMH	NiCd	PbA
SOC	91.01	93.78	96.43	8.98
SEI	11.20	16.15	22.05	3.91
DRI	19.03	19.08	45.87	13.11

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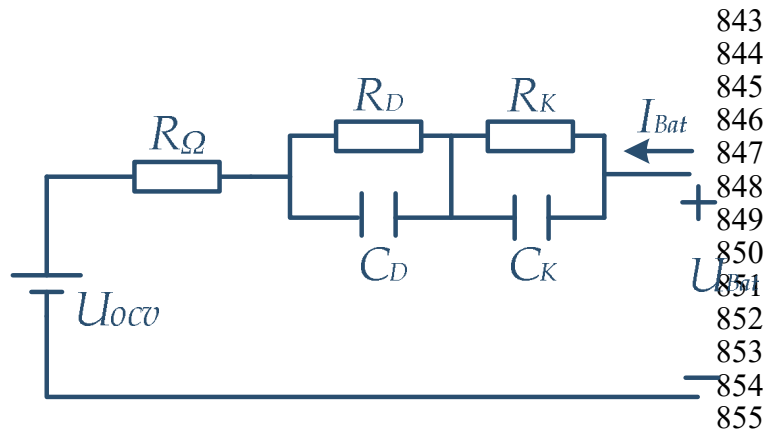
Table 5 - Merit figures outcomes: Crete.

Cycles (80%)	200-2000
Charge Time (h)	8-16
Discharge Month (%)	5
Cost (\$/KWh)	8.5
Voltage (V)	2.085
Peak Drain (C)	5
Specific Energy (Wh/kg)	20-40
Specific Power (W/kg)	75-415
Rated Capacity (mAh)	2000

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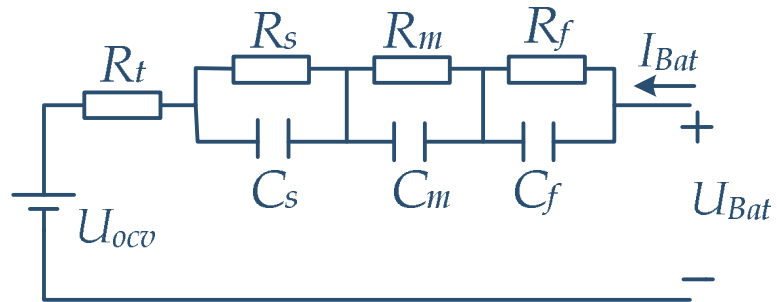
Table 6 - PbA electrochemical battery main characteristics.

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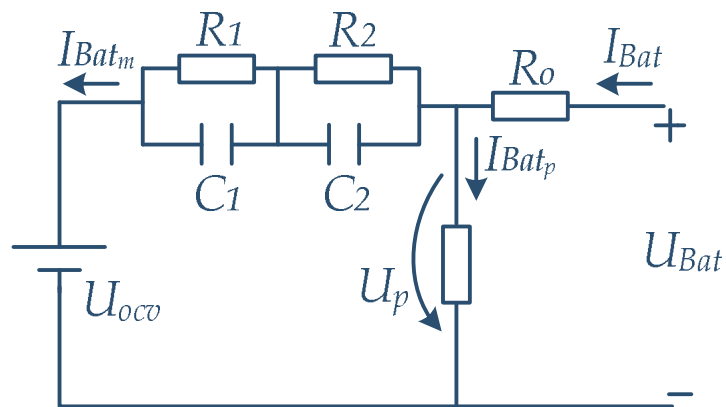
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Fig. 1 - NiMH battery model



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Fig. 2 - Li-ion battery model



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Fig. 3 - PbA battery equivalent network

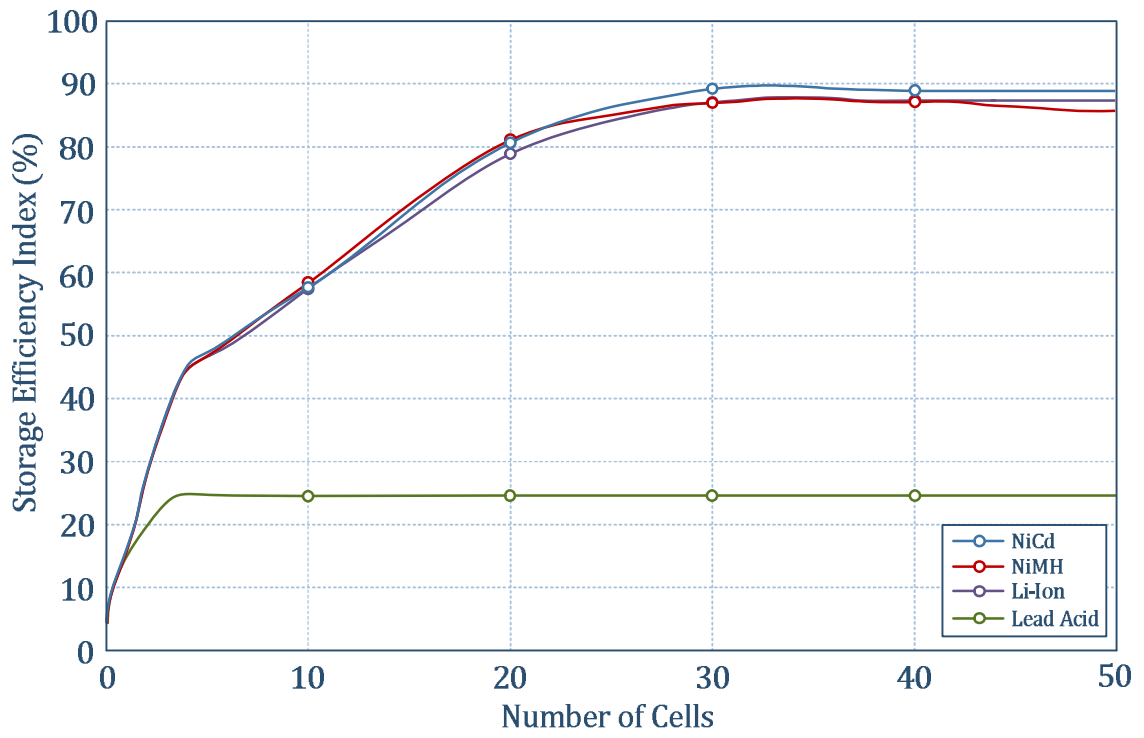


Figure 4 - São Miguel: SEI.

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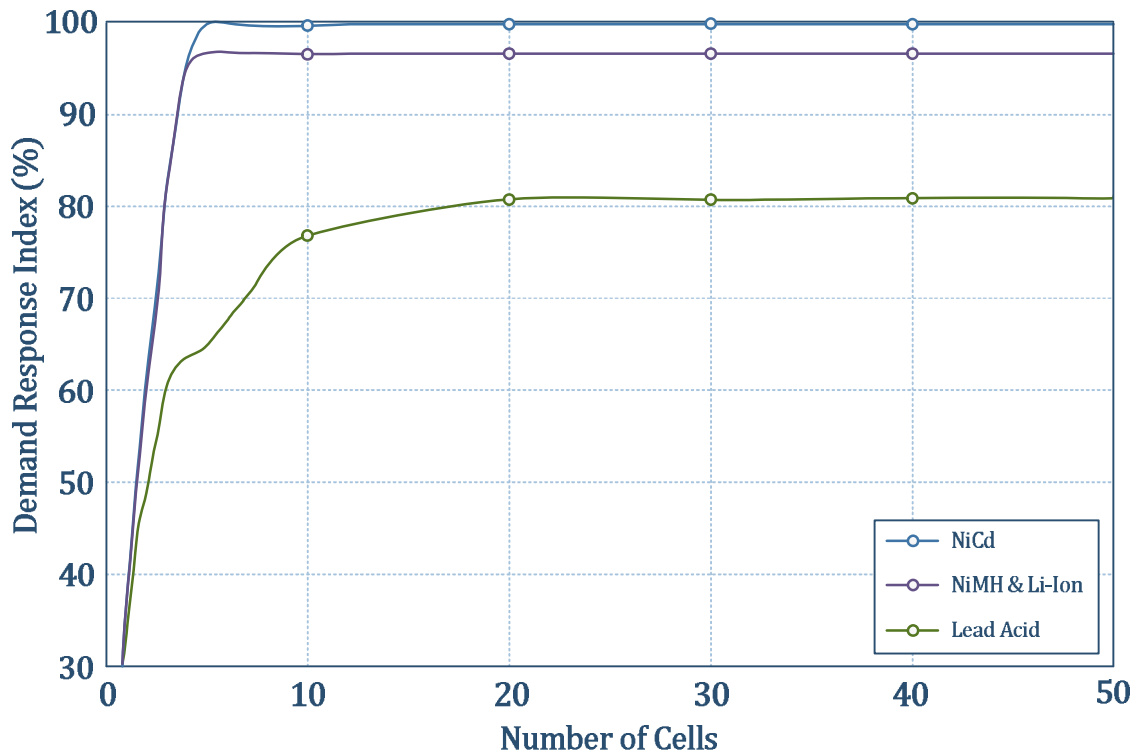


Figure 5 - São Miguel: DRI.

873
874
875

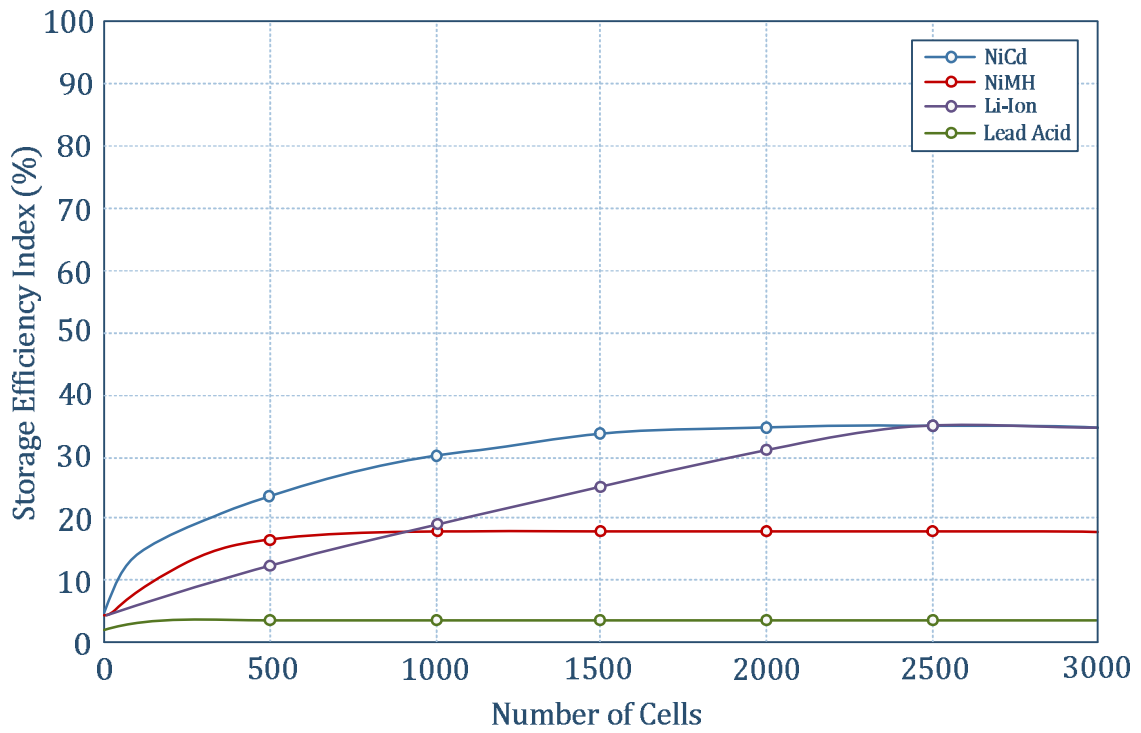


Figure 6 - Crete: SEI.

876
877
878
879

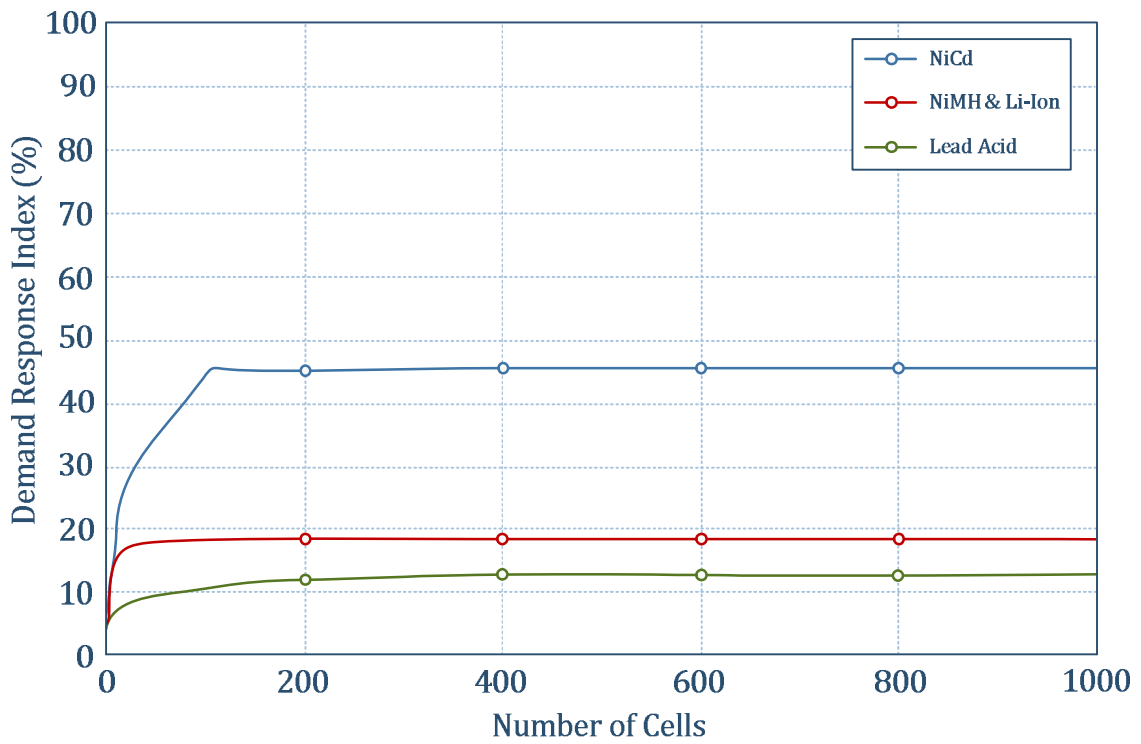


Figure 7 - Crete: DRI.

880
881
882
883

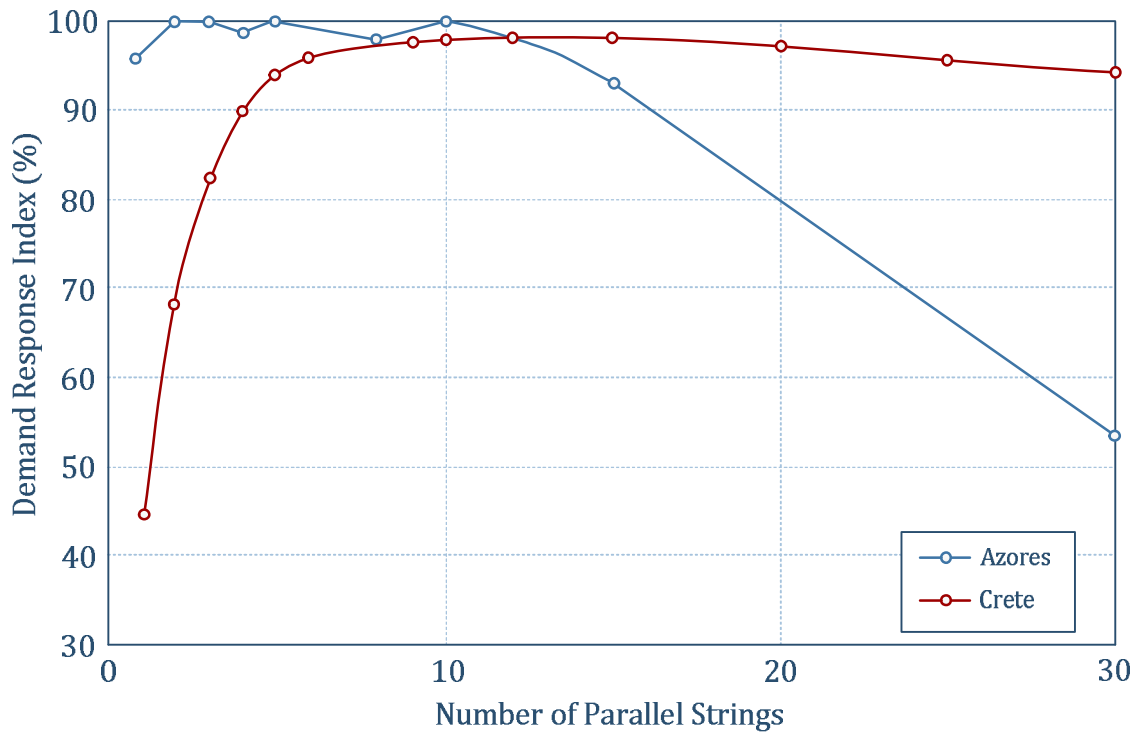


Figure 8 - Effect of the number of strings on DRI.

884
885
886
887

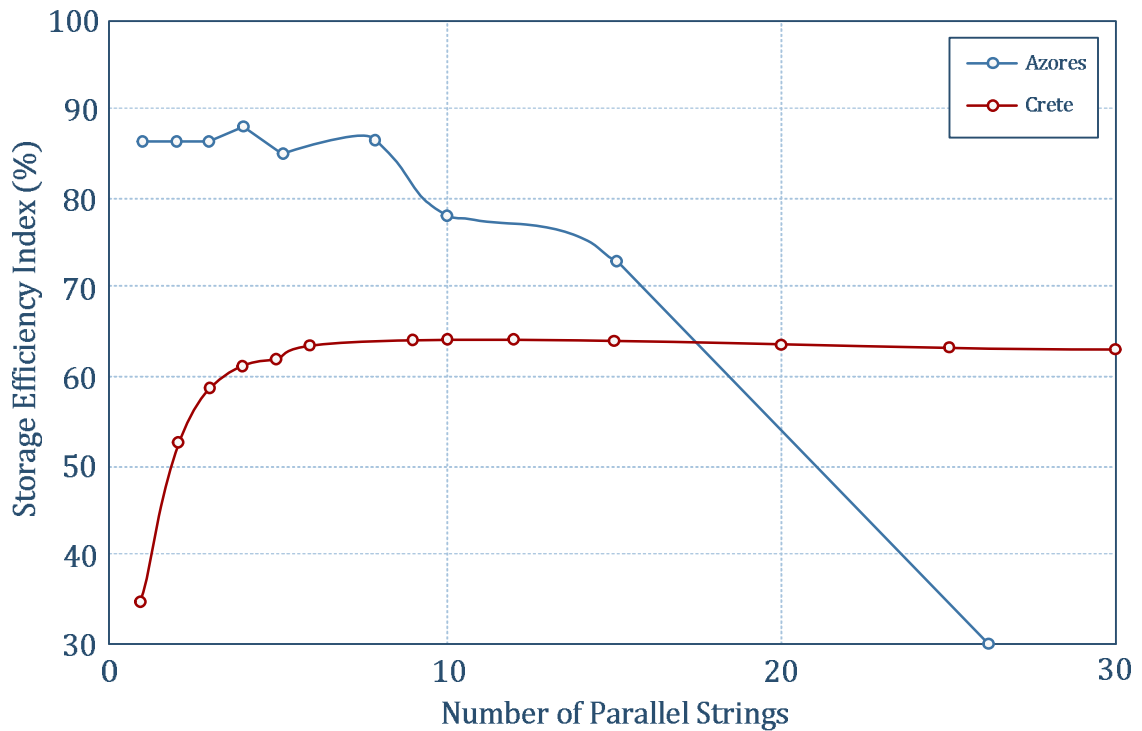


Figure 9 - Effect of the number of strings on SEI.

888
889
890
891

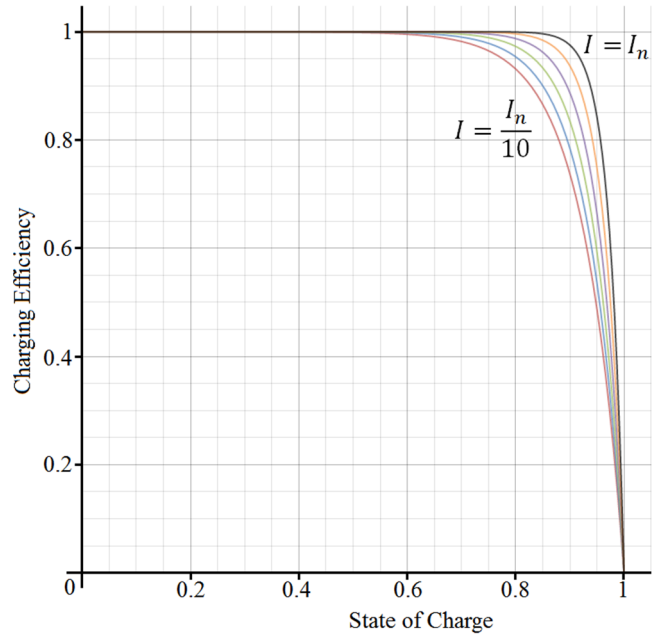


Figure 10 - Charging efficiency as function of SOC.

892
893
894
895
896
897

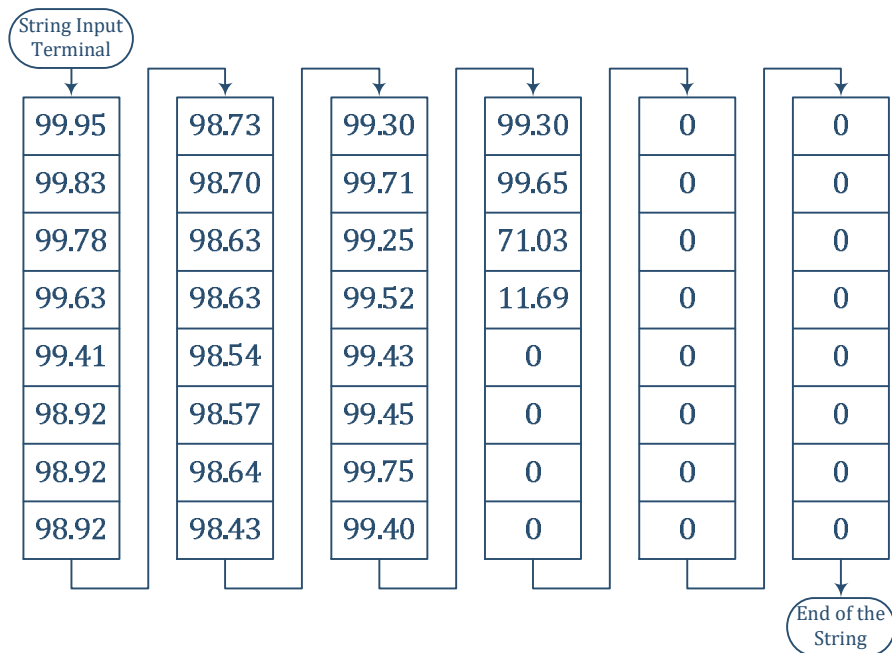
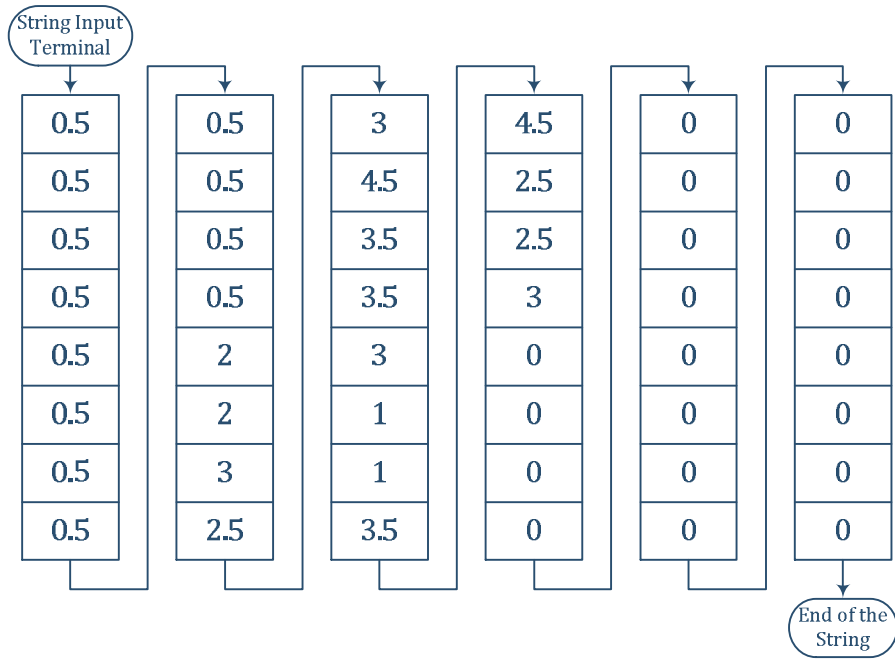


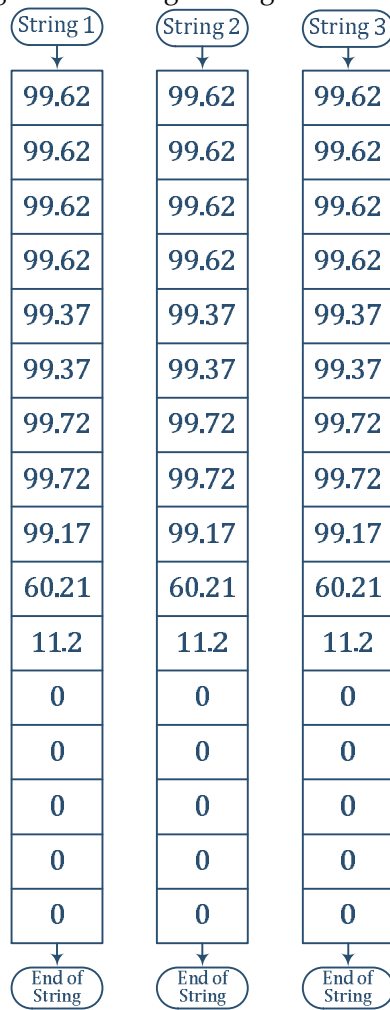
Figure 11 - Configuration in single string: SOC per cell.

898
899
900
901
902



903
904

Figure 12 - Configuration in single string: Number of charging cycles.



905
906
907

Figure 13 - Parallel configuration: SOC per cell.

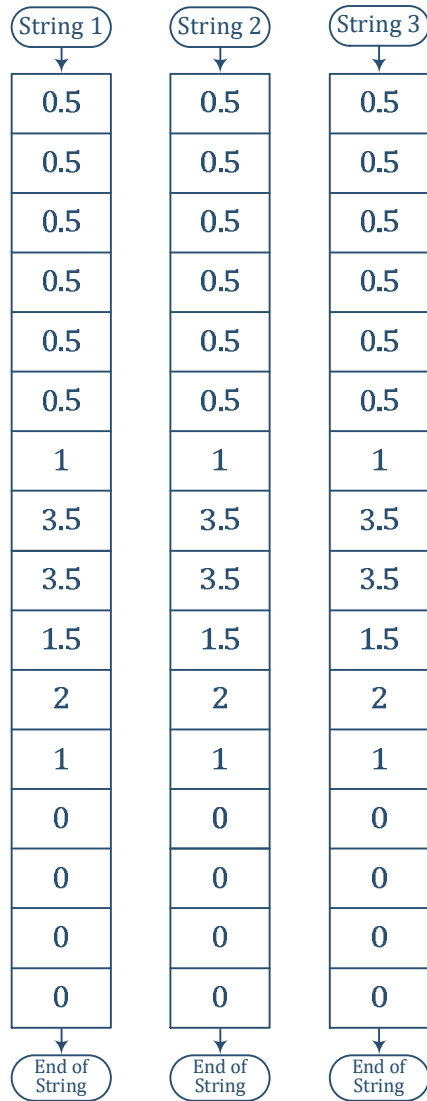


Figure 14 - Parallel configuration: Number of charging cycles.

908
 909
 910
 911
 912
 913
 914
 915
 916
 917
 918
 919

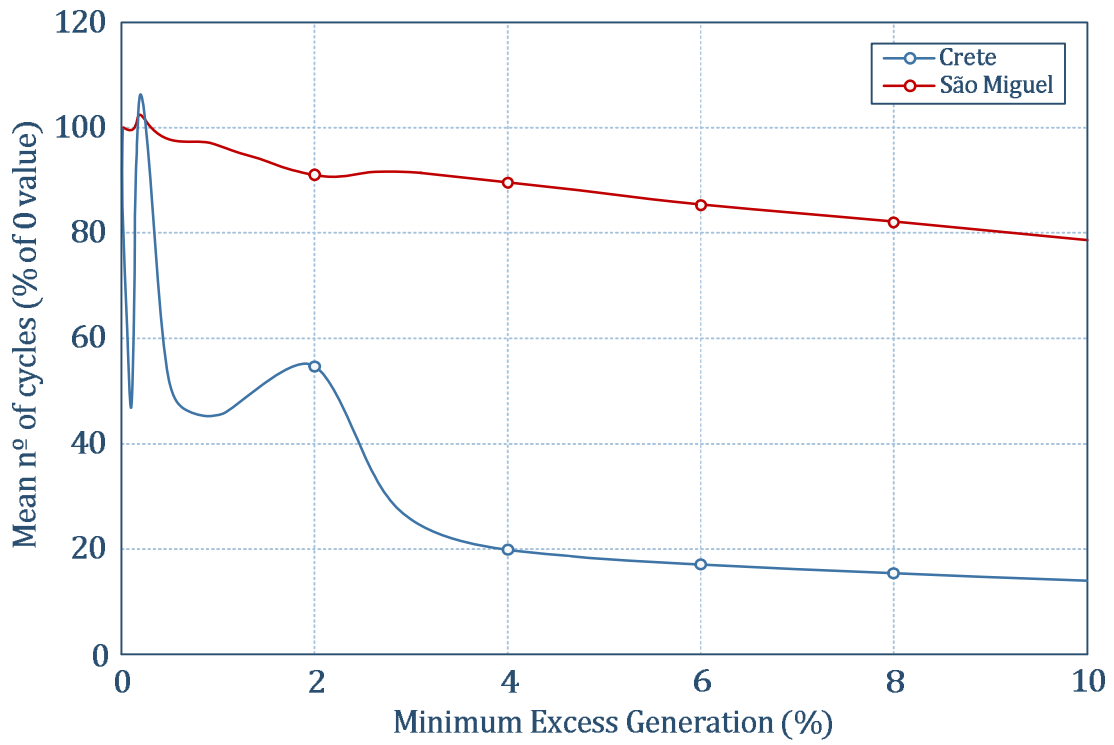


Figure 15- Average number of battery cycles to charging criterion.

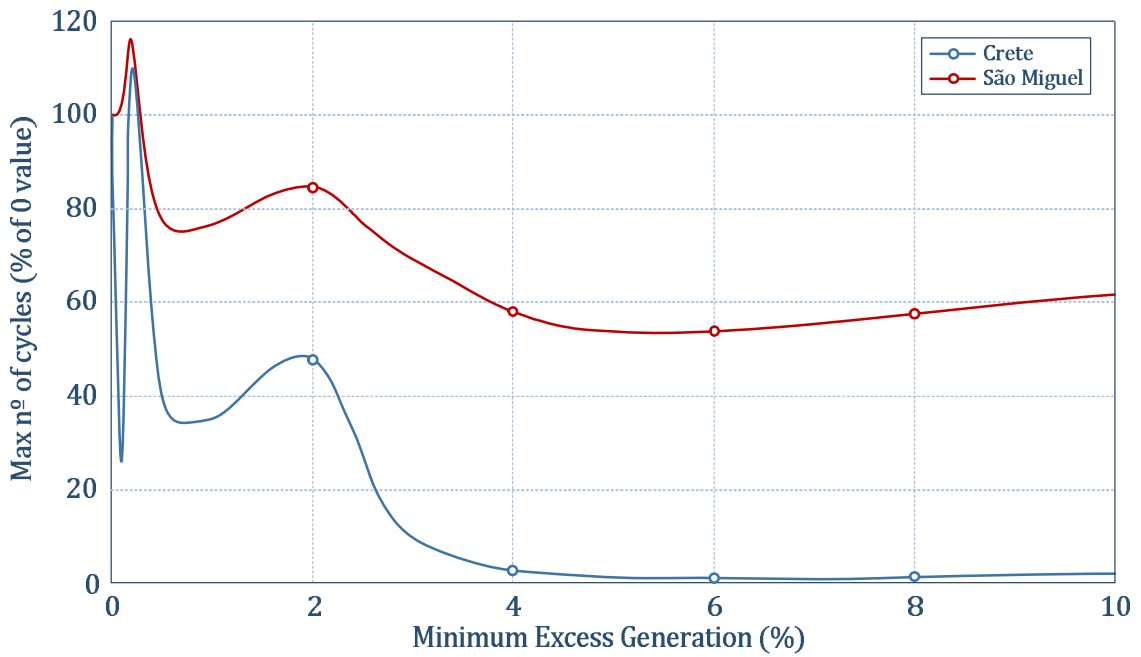


Figure 16 - Battery cycles maximum number to charging criterion.

920
921
922

923
924
925

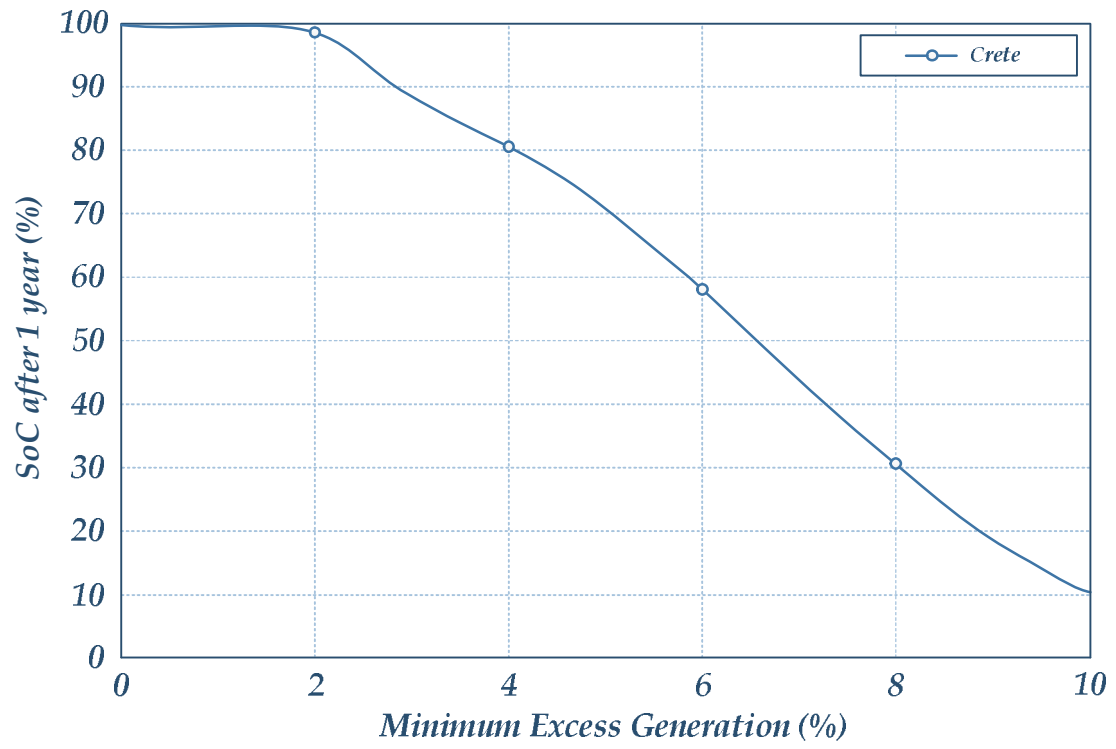


Figure 17 - SOC profile as function of the charging criterion.

926
927
928
929