

# Direct Lyapunov Control Technique for the Stable Operation of Multilevel Converter-Based Distributed Generation in Power Grid

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**Abstract**—This paper deals with a control strategy of multilevel converter topologies for integration of distributed generation (DG) resources into the power grid. The proposed control plan is based on the direct Lyapunov control (DLC) technique, which is an appropriate tool for the analysis and definition of a stable operating condition for DG link in the power grid. The compensation of instantaneous variations in the reference current components in ac side and dc voltage variations of cascaded capacitors in dc side of the interfacing system is considered properly, which is the main contribution and novelty of this paper in comparison with other control methods. By utilization of the proposed control technique, DG can provide continuous injection of active power in fundamental frequency from the dispersed energy sources to the grid. In addition, reactive power and harmonic current components of nonlinear loads can be provided with fast dynamic response, by setting a multiobjective reference current component in the current loop of DLC-based model. Therefore, achieving sinusoidal grid currents in phase with load voltages are possible, while the required power from the load side is more than the maximum capacity of interfaced multilevel converter. Simulation results confirm the effectiveness of the proposed control strategy in DG technology during dynamic and steady-state operating conditions.

**Index Terms**—Direct Lyapunov control (DLC), distributed generation (DG), energy management, multilevel converter.

## NOMENCLATURE

### A. Indices

$i$       $a, b, c$ .  
 $j$       $1, 2, \dots, (n - 1)$ .

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### B. Abbreviations

CC	Capability curve.
DG	Distributed generation.
DLC	Direct Lyapunov control.
KVL	Kirchhoff's voltage law.
KCL	Kirchhoff's current law.
LPF	Low pass filter.
NPC	Neutral point clamped.
PCC	Point of common coupling.
PF	Power factor.
THD	Total harmonic distortion.
VSC	Voltage source converter.

### C. Variables

$i_{gi}$	Grid currents.
$i_{li}$	Load currents.
$i_{ci}$	DG currents.
$i_d$	Current components $d$ -axis.
$i_q$	Current components $q$ -axis.
$i_{dc}$	DC current.
$I_{dc}$	Steady-state value of DC current.
$i_{cd}^*$	Reference current of DG in $d$ -axis.
$i_{cq}^*$	Reference current of DG in $q$ -axis.
$i_{dhn}$	Load current components in harmonic frequencies.
$I_{avd}$	Average value of instantaneous variation of $i_{cd}^*$ .
$I_{avq}$	Average value of instantaneous variation of $i_{cq}^*$ .
$e_{gi}$	Grid voltage.
$e_i$	Voltage at PCC.
$e_m$	Reference voltage vector at PCC.
$e_d$	Load voltage in $d$ -axis.
$e_q$	Load voltage in $q$ -axis.
$v_{cj}$	DC-link voltages on the cascaded capacitors.
$u_{eq_i}$	Switching state function.
$v_{cs}$	Desired voltage value of cascaded capacitors.
$S_{ij}$	Switching of transistors in each phase.

### D. Parameters

$R_c$	Equivalent resistance of the ac filter, coupling transformer, and connection cables.
$L_c$	Equivalent inductance of the ac filter, coupling transformer, and connection cables.
$R_g$	Grid resistance up to the PCC.
$L_g$	Grid inductance up to the PCC.
$c_j$	Cascaded capacitors.

$P_{DG}$	Reference active power of DG.
$P_{max}$	Maximum active power of DG.
$Q_{DG}$	Reference reactive power of DG.
$Q_l$	Load reactive power.
$\omega$	Grid angular frequency.
$\alpha_j$	Constant coefficient of switching function in dynamic state operation.
$\beta_j$	Constant coefficient of switching function in dynamic state operation.
$f$	Fundamental frequency.
$f_c$	Cutoff frequency.

## I. INTRODUCTION

**T**HE concept of distributed generation (DG) consists of relatively small generation units that are located at or near the point of power consumption. Application of DG technology in the power grid can yield many benefits from both the grid and demand sides [1]–[3].

DG has the capability of being less costly, highly efficient, and reliable; it can simplify the generation of energy near the load center [4]. Therefore, DG can be considered as an efficient preference for the residential, commercial, and industrial customer loads to provide a secure and low-cost electricity [5]. Sustainable energy resources are good options to empower the interfacing system in DG platforms. These sources of energy meet both the reducing energy demand from the utility grid and greenhouse gas emissions for the environmental regulations [6]. Power injection from DG to the grid during the peak of demand can optimize the energy consumption and reduce the stress from the grid's point of view, and decrease the cost of energy consumption from the customer's point of view [7]. But, increasing the number of DG units in the power grid can make some problems in the operation and power management in the whole network [8].

Generally, voltage source converters (VSC) are used as the heart of interfacing system between the DG source and the power grid, and multilevel converter topologies are a good tradeoff solution between the performance and cost in high-power systems. Compared with standard two-level converter topology, multilevel converter topologies offer great advantages such as lower harmonic distortion, lower voltage stress on converter connected loads, lower common-mode voltage, and less electromagnetic interference. Therefore, by reducing filtering requirements, they not only improve the efficiency of converters, but also increase the load power and the load efficiency by improving the load voltage with a lower harmonic content [9]–[11]. Nevertheless, the control complexity increases in multilevel converter-based DG, compared with the conventional converter-based scheme. Therefore, an efficient and cost-effective control technique is highly demanded for integration of DG resources into the power grid.

Several studies have been reported in the literature regarding the control of DG interfacing system for integration of renewable and nonrenewable energy sources to the grid [12]–[19].

Different issues regarding the integration of DG units into the distribution grid have been presented in [12], and some operational challenges such as voltage control, grid protection, and fault levels have been discussed. Some topologies and

control techniques of converter-based DG are studied in [13], addressing the utilization of DG technology as a power quality enhancement device in the power grid. A control strategy is proposed in [14] to mitigate the impact of DG on the protection coordination. The proposed control technique can limit the injected current from the DG link according to the DG terminal voltage. The control algorithm presented in [15] can control the interfacing system as a voltage-controlled converter. The proposed control plan provides control over frequency and voltage at the point of common coupling (PCC); then, DG unit can work in both the grid connected and autonomous modes. A control plan for the multilevel converter-based DG has been presented in [16] for the integration of sustainable energy sources into the power grid. The application of this control technique is mainly based on the injection of harmonic current components and reactive power compensation from the renewable energy resources (i.e., wind and solar) in medium and high power systems. Different hardware implementations for the DG technology, control structures for the interfacing system, and control strategies under the fault conditions are addressed in [17]. Camacho *et al.* [18] discussed reference current generation in the control circuit loop of interfaced converter, under grid fault condition. The generated reference current can provide a flexible voltage support during the presence of any faults in the grid voltage. A PLL-less control technique for DG link is presented in [19]. By elimination of PLL from the control loop of DG, synchronization problems between the DG link and power grid can be resolved, and the control loop has a fast dynamic response to variations in the grid parameters. Some other control plans are also proposed for achieving a unity power factor (PF) in the utility grid by the integration of DG link to the network [20], [21]. In [22], a feedback linearization technique has been presented for the control of a three-level neutral-point-clamped (NPC) converter for compensation of harmonic current components of grid-connected loads. By the introduction of this control method, DG technology can be considered as an active power filter (APF) device in a power system. A control technique is developed in [23] for injection of harmonic current components generated by nonlinear loads. The proposed scheme is basically an energy-based Lyapunov control technique and provides a globally stable system. But, the authors did not consider the impacts of instantaneous variations of reference current components that highly contribute in the concept of global stability. Several other control algorithms have been proposed in the concept of DG technology, which in most of the presented works, a solution for a serious problem in the power grid has been proposed and discussed.

In this paper, authors are introducing a control strategy on the basis of the direct Lyapunov control (DLC) technique for defining a stable operating region of DG units and control of multilevel converter as the heart of the interfacing system between the DG sources and power grid. The impacts of instantaneous variations of reference current components in ac side, and dc voltage variations of cascaded capacitors in dc side of interfaced multilevel converter in operation of DG units are considered properly, which is an important section regarding the new contribution of this control method in comparison

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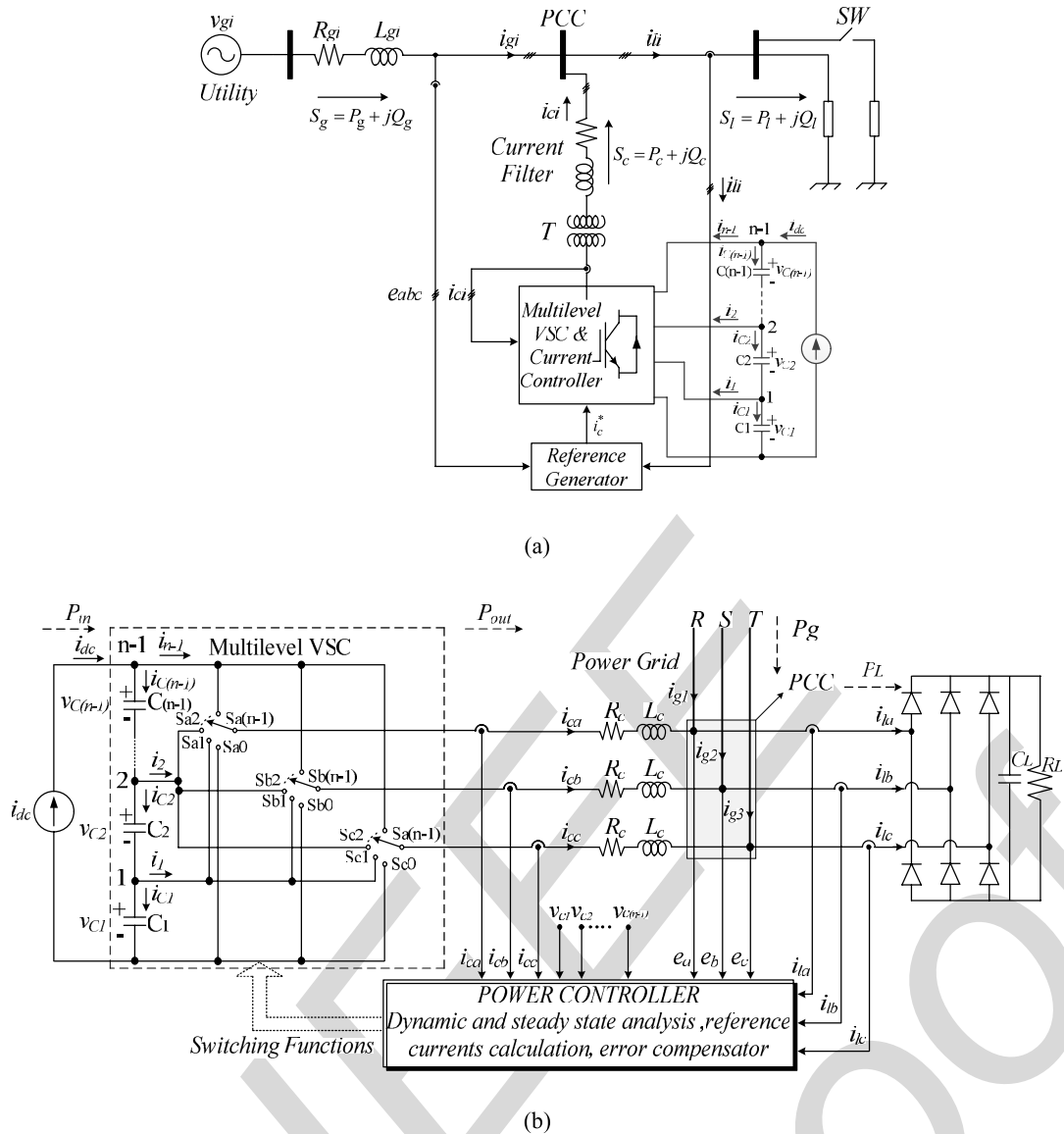


Fig. 1. Functional diagram of the proposed multilevel converter-based model. (a) Single-line diagram and (b) three-phase scheme.

with other potential control strategies. The contribution of this strategy in DG technology can be introduced as a solution in distribution grid, and the compensation for the different issues is needed concurrently during the connection of nonlinear loads.

The rest of paper is organized into five sections. Following Section I, the general schematic diagram of the proposed DG model is introduced in Section III, and the dynamic and state-space analysis of this scheme are elaborated properly. Application of the DLC technique for the control and stable operation of DG interface system in transient and steady-state operating condition is presented in Section IV. Moreover, simulation results are performed to demonstrate the efficiency and applicability of the developed control strategy in Section V. Finally, conclusions are drawn in Section V.

## II. PROPOSED MODEL SCHEME

Fig. 1 shows the single-line and three-phase schematic diagrams of the proposed multilevel converter-based DG model,

which is composed of three main parts, such as, grid, load, and DG link. As shown in Fig. 1(a), the proposed DG model is integrated to the grid in shunt connection type, which injects current components from the DG source into PCC. Conventional signs of voltage and current components are shown in detail in Fig. 1(b). In addition, the DG source and additional components are represented as a decurrent source, which is connected to the dc side of the interfaced converter.

### A. Dynamic Model in $a$ - $b$ - $c$ Reference Frame

Dynamic analytical model of the proposed multilevel converter-based schema shown in Fig. 1 should be developed, to draw an appropriate control plan for controlling the integrated DG resources to the power grid. These equations can be obtained by applying the KVL law for the voltage at PCC and KCL law for the current in dc side of the interfaced

168 converter as

$$\begin{aligned}
 & L_c \frac{di_{c_a}}{dt} + R_c i_{c_a} - \left( S_{a1} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j1} \right) v_{c1} - \left( S_{a2} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j2} \right) v_{c2} \\
 & - \dots - \left( S_{a(n-1)} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j(n-1)} \right) v_{c(n-1)} + e_a = 0 \\
 & L_c \frac{di_{c_b}}{dt} + R_c i_{c_b} - \left( S_{b1} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j1} \right) v_{c1} - \left( S_{b2} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j2} \right) v_{c2} \\
 & - \dots - \left( S_{b(n-1)} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j(n-1)} \right) v_{c(n-1)} + e_b = 0 \\
 & L_c \frac{di_{c_c}}{dt} + R_c i_{c_c} - \left( S_{c1} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j1} \right) v_{c1} - \left( S_{c2} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j2} \right) v_{c2} \\
 & - \dots - \left( S_{c(n-1)} - \frac{1}{3} \sum_{j=a}^{b,c} S_{j(n-1)} \right) v_{c(n-1)} + e_c = 0 \\
 & c_1 \frac{dv_{c1}}{dt} + (S_{a1} i_{c_a} + S_{b1} i_{c_b} + S_{c1} i_{c_c}) - i_{dc} = 0 \\
 & c_2 \frac{dv_{c2}}{dt} + (S_{a2} i_{c_a} + S_{b2} i_{c_b} + S_{c2} i_{c_c}) - i_{dc} = 0 \\
 & \vdots \quad \quad \quad \vdots \\
 & c_{n-2} \frac{dv_{c(n-2)}}{dt} + (S_{a(n-2)} i_{c_a} + S_{b(n-2)} i_{c_b} + S_{c(n-2)} i_{c_c}) - i_{dc} = 0 \\
 & c_{n-1} \frac{dv_{c(n-1)}}{dt} - ((1 - S_{a(n-1)}) i_{c_a} - (1 - S_{b(n-1)}) i_{c_b} \\
 & - (1 - S_{c(n-1)}) i_{c_c}) - i_{dc} = 0. \quad (1)
 \end{aligned}$$

182 By substituting the relation between the load voltages and  
 183 switching state functions of multilevel converter in (1), voltage  
 184 relation between the ac and dc sides of the interfacing system  
 185 can be obtained as

$$\begin{aligned}
 v_i &= \left( S_{i1} - \frac{1}{3} \sum_{k=a}^{b,c} S_{k1} \right) v_{c1} + \left( S_{i2} - \frac{1}{3} \sum_{k=a}^{b,c} S_{k2} \right) v_{c2} \\
 &+ \dots + \left( S_{i(n-1)} - \frac{1}{3} \sum_{k=a}^{b,c} S_{k(n-1)} \right) v_{c(n-1)}. \quad (2)
 \end{aligned}$$

188 By referring to (2), equivalent switching function for an  
 189  $n$ -level converter-based interfacing system can be expressed as

$$\begin{aligned}
 u_{eq_{i1}} &= - \left( S_{i1} - \frac{1}{3} \sum_{k=a}^{b,c} S_{k1} \right) \\
 u_{eq_{i2}} &= - \left( S_{i2} - \frac{1}{3} \sum_{k=a}^{b,c} S_{k2} \right) \\
 &\vdots \\
 u_{eq_{i(n-1)}} &= - \left( S_{i(n-1)} - \frac{1}{3} \sum_{k=a}^{b,c} S_{k(n-1)} \right). \quad (3)
 \end{aligned}$$

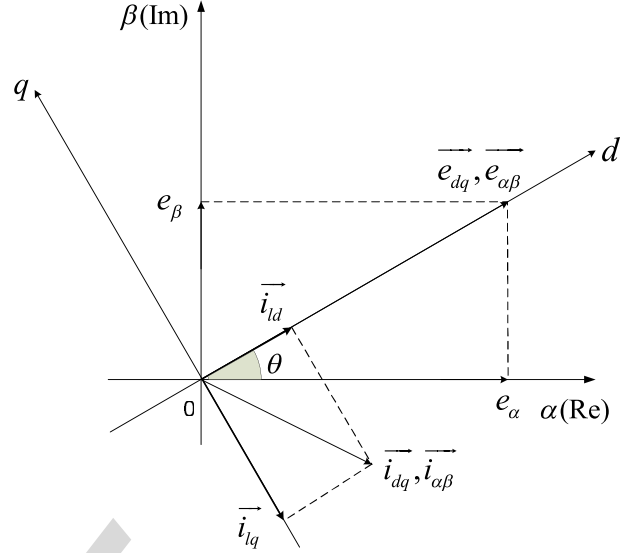


Fig. 2. Voltage and current components in special reference frames.

Equation (3) demonstrates that the equivalent switching  
 functions of multilevel converter are depended on the switching  
 of  $S_{ij}$ , completely describing the behavior of each leg  
 in interfacing system. By substituting (3) in (1), the complete  
 dynamic model of the propose scheme can be expressed based  
 on the switching state function of interfaced converter as

$$\begin{aligned}
 L_c \frac{di_{c_a}}{dt} &= -R_c i_{c_a} - u_{eq_{a1}} v_{c1} - u_{eq_{a2}} v_{c2} \\
 &- \dots - u_{eq_{a(n-1)}} v_{c(n-1)} - e_a \\
 L_c \frac{di_{c_b}}{dt} &= -R_c i_{c_b} - u_{eq_{b1}} v_{c1} - u_{eq_{b2}} v_{c2} \\
 &- \dots - u_{eq_{b(n-1)}} v_{c(n-1)} - e_b \\
 L_c \frac{di_{c_c}}{dt} &= -R_c i_{c_c} - u_{eq_{c1}} v_{c1} - u_{eq_{c2}} v_{c2} \\
 &- \dots - u_{eq_{c(n-1)}} v_{c(n-1)} - e_c \\
 c_1 \frac{dv_{c1}}{dt} &= u_{eq_{a1}} i_{c_a} + u_{eq_{b1}} i_{c_b} + u_{eq_{c1}} i_{c_c} + i_{dc} \\
 c_2 \frac{dv_{c2}}{dt} &= u_{eq_{a2}} i_{c_a} + u_{eq_{b2}} i_{c_b} + u_{eq_{c2}} i_{c_c} + i_{dc} \\
 &\vdots \\
 c_{n-2} \frac{dv_{c(n-2)}}{dt} &= u_{eq_{a(n-2)}} i_{c_a} + u_{eq_{b(n-2)}} i_{c_b} + u_{eq_{c(n-2)}} i_{c_c} + i_{dc} \\
 c_{n-1} \frac{dv_{c(n-1)}}{dt} &= u_{eq_{a(n-1)}} i_{c_a} + u_{eq_{b(n-1)}} i_{c_b} + u_{eq_{c(n-1)}} i_{c_c} + i_{dc}. \quad (4)
 \end{aligned}$$

### B. Dynamic Model in dq Reference Frame

By use of the Park transformation matrix, the dynamic  
 model of the whole system in (4) can be transformed into  
 dq reference frame; therefore, all the ac variables of the  
 proposed model in main frequency are converted to the dc  
 value; consequently, controlling and filtering of the proposed  
 DG model can be achieved easier.

Fig. 2 shows the voltage and current components in  $\alpha\beta$  and  
 dq reference frames [24]. Considering  $d$ -axis vector in the



direction of reference voltage vector in this transformation, the  $q$ -component of reference voltage in rotating synchronous reference frame is always zero ( $e_q = 0$ ) [19]. Therefore, the instantaneous angle of grid voltage can be calculated as

$$\theta = \tan^{-1} \frac{e_\beta}{e_\alpha}. \quad (5)$$

The grid voltage is considered ideal during the operation of the system; thus  $\theta$  will not be involved with harmonic distortion, and consequently the obtained phase angle for  $dq$  transformation is proper in both steady and dynamic states.

As shown in Fig. 2, the magnitude of the voltage at PCC can be calculated as

$$e_m = |e_{dq}| = |e_{\alpha\beta}| = \sqrt{(e_\alpha)^2 + (e_\beta)^2}. \quad (6)$$

With the aforementioned considerations, the dynamic model of the proposed scheme can be expressed in  $dq$  frame as

$$\begin{aligned} L_c \frac{di_{cd}}{dt} + R_c i_{cd} - \omega L_c i_{cq} + u_{eqd1} v_{c1} + u_{eqd2} v_{c2} \\ + \dots + u_{eqd(n-2)} v_{c(n-2)} + u_{eqd(n-1)} v_{c(n-1)} + e_d = 0 \\ L_c \frac{di_{cq}}{dt} + R_c i_{cq} + \omega L_c i_{cd} + u_{eqq1} v_{c1} + u_{eqq2} v_{c2} \\ + \dots + u_{eqq(n-2)} v_{c(n-2)} + u_{eqq(n-1)} v_{c(n-1)} + e_q = 0 \\ C_1 \frac{dv_{c1}}{dt} - (u_{eqd1} i_{cd} + u_{eqq1} i_{cq}) - i_{dc} = 0 \\ C_2 \frac{dv_{c2}}{dt} - (u_{eqd2} i_{cd} + u_{eqq2} i_{cq}) - i_{dc} = 0 \\ \vdots \\ C_{n-1} \frac{dv_{c(n-1)}}{dt} - (u_{eqd(n-1)} i_{cd} + u_{eqq(n-1)} i_{cq}) - i_{dc} = 0. \end{aligned} \quad (7)$$

Equation (7) illustrates the state-space model of the proposed multilevel converter-based DG scheme in  $dq$  frame, which is used for the dynamic and steady-state analysis of the whole model in the perspective control plan.

### III. PROPOSED CONTROL TECHNIQUE

The proposed control technique in this paper is based on DLC algorithm, which is an appropriate technique for studying the stability of the proposed model in power grid. Through the proposed control technique, DG can be strengthened against the large signal disturbances and during the presence of unexpected changes in the loads of the proposed model.

#### A. Steady-State Evaluation in the Control Loop

Assuming that  $i_{cd}^*$  and  $i_{cq}^*$  are equilibrium points of the proposed DG in  $dq$  reference frame, if the reference current of  $i_{cd}^*$  is adjusted for the injection of the maximum active power in the fundamental frequency and total harmonic currents of nonlinear loads in  $d$ -axis into the grid through DG, the  $d$ -component of DG current in the closed-loop control can be expressed as

$$i_{cd} = i_{cd}^*. \quad (8)$$

Therefore, if the maximum active power of DG in fundamental frequency is more than the required active power from the load, the injected active power in fundamental and harmonic frequencies from the grid to the load will be zero. On the other hand, to generate the total reactive power through the proposed DG,  $i_{cq}^*$  should be considered as

$$i_{cq} = i_{cq}^*. \quad (9)$$

Two points can be understood from (9) that help to verify the accuracy of the sentence. First, (9) shows that DG guarantees all harmonic components of nonlinear load current in  $q$  reference frame, which leads to enhancing voltage quality. Second, (9) demonstrates that DG injects all  $q$ -component current of nonlinear load in fundamental frequency as well as all harmonic frequencies that cause the grid current including only  $d$ -component in fundamental frequency. It leads to unity PF in the grid. Moreover, voltage of load should be balanced and sinusoidal in the steady-state condition, therefore

$$e_d = e_m, e_q = 0. \quad (10)$$

Equations (8)–(10) are considered as the steady-state conditions in the proposed control plan. In addition, in the steady-state condition:  $v_{c1} = v_{c2} = \dots = v_{c(n-1)} = v_{cs}$ ,  $u_{eqd1} = u_{eqd2} = \dots = u_{eqd(n-1)} = u_{eqds}$  and  $u_{eqq1} = u_{eqq2} = \dots = u_{eqq(n-1)} = u_{eqqs}$ .

When the capacitor voltages are aimed to reach different references, the steady-state conditions for output voltages will be  $v_{ci} = v_{csi}$ , in which  $v_{csi} \neq v_{csj}$  for  $i \neq j$ ,  $i$  and  $j = 1, \dots, n-1$ . In this case, the midpoint of two adjacent capacitors draws significant current owing to their corresponding unequal voltages, which should be considered in a control strategy and is not the aim of the proposed controller. During the connection of linear loads to the grid, current components are only in fundamental frequency; then, the values of reference current components in  $dq$  frame are constant. But, during the connection of nonlinear loads to the grid, the presence of harmonic frequencies in the current components makes some variations in the reference current components, which may cause improper operation of the control loop and harmonic current injection from the interfaced converter to the grid. Then, these variations should be considered precisely for compensation in the control loop of the proposed DG model. In addition,  $\theta$  is defined as the instantaneous angle of grid voltages in the previous section; then, the average values of instantaneous variations in the reference current components of DG control loop can be defined for compensation as

$$\frac{di_{cd}^*}{dt} = I_{avd}, \quad \frac{di_{cq}^*}{dt} = I_{avq}. \quad (11)$$

Since the nonlinear load currents components in both  $d$  and  $q$  frame are not constant, the injected currents through DG will be variable. In this case, the derivative of  $d$  and  $q$  components currents of DG are obtained, which have specified values and are opposite of zero value. The obtained specified values are considered to exactly reach CC of DG and switching functions of the converter. By substituting (11) and applying the steady state conditions into (7), (12) can be

obtained as

$$\begin{aligned}
L_c I_{av_d} + R_c i_{c_d}^* - \omega L_c i_{c_q}^* + (n-1)v_{cs}u_{eq_{ds}} + e_m &= 0 \\
L_c I_{av_q} + R_c i_{c_q}^* + \omega L_c i_{c_d}^* + (n-1)v_{cs}u_{eq_{qs}} &= 0 \\
u_{eq_{ds}} i_{c_d}^* + u_{eq_{qs}} i_{c_q}^* + I_{dc} &= 0 \\
u_{eq_{ds}} i_{c_d}^* + u_{eq_{qs}} i_{c_q}^* + I_{dc} &= 0 \\
\vdots & \\
u_{eq_{ds}} i_{c_d}^* + u_{eq_{qs}} i_{c_q}^* + I_{dc} &= 0 \\
u_{eq_{ds}} i_{c_d}^* + u_{eq_{qs}} i_{c_q}^* + I_{dc} &= 0. \quad (12)
\end{aligned}$$

According to (12), the switching state functions of interfaced multilevel converter for the steady-state operating condition can be expressed as

$$u_{eq_{ds}} = \frac{-R_c}{(n-1)v_{cs}} \left( \frac{L_c}{R_c} I_{av_d} + i_{c_d}^* - \frac{\omega L_c}{R_c} i_{c_q}^* + \frac{e_m}{R_c} \right) \quad (13)$$

$$u_{eq_{qs}} = \frac{-R_c}{(n-1)v_{cs}} \left( \frac{L_c}{R_c} I_{av_q} + i_{c_q}^* + \omega \frac{L_c}{R_c} i_{c_d}^* \right). \quad (14)$$

Equations (13) and (14) can be used for the desired control of DG in the steady-state condition by proper selection of  $i_{c_d}^*$  and  $i_{c_q}^*$ . Each DG model has a limited capacity for the injection of active and reactive power, so considering the capacity of DG in design of control loop for the interfacing system can help to improve the performance of DG model in the distribution grid. By substituting (13) and (14) in  $dc$  part of (12), (15) can be obtained as

$$\begin{aligned}
\left( i_{c_d}^* + \frac{L_c I_{av_d} + e_m}{2R_c} \right)^2 + \left( i_{c_q}^* + \frac{L_c I_{av_q}}{2R_c} \right)^2 \\
= \frac{(L_c I_{av_d} + e_m)^2 + (L_c I_{av_q})^2 + 4R_c(n-1)v_{cs}I_{dc}}{4R_c^2}. \quad (15)
\end{aligned}$$

By multiplying  $e_m^2$  to (15), (16) can be expressed as

$$\begin{aligned}
\left( P_{DG} + \frac{L_c I_{av_d} e_m + e_m^2}{2R_c} \right)^2 + \left( Q_{DG} - \frac{L_c I_{av_q} e_m}{2R_c} \right)^2 \\
= \frac{(L_c I_{av_d} e_m + e_m^2)^2 + (L_c e_m I_{av_q})^2 + 4R_c(n-1)v_{cs}I_{dc}e_m^2}{4R_c^2}. \quad (16)
\end{aligned}$$

Equation (16) is the equation of a circle with the center of  $(-(L_c I_{av_d} e_m + e_m^2)/(2R_c), (L_c I_{av_q} e_m)/(2R_c))$  and radius of

$$e_m/2R_c \sqrt{(L_c I_{av_d} + e_m)^2 + (L_c I_{av_q})^2 + 4R_c(n-1)v_{cs}I_{dc}}$$

which is shown in Fig. 3. This figure is considered as the capability curve (CC) of the proposed DG model, providing a proper division of active and reactive power between DG and the grid for supplying the load. As can be seen in Fig. 3, total reactive power of Load1 can be supplied through the DG link; but, after connection of Load2 to the grid, loads reactive power increases from  $Q_{11}$  to  $Q_{12}$ . As shown in this figure, total required power from the load side is not inside the circle, and it is more than the maximum capacity of interfaced converter for reactive power injection. Then, the rest of the active and reactive power will be supplied through the utility grid.

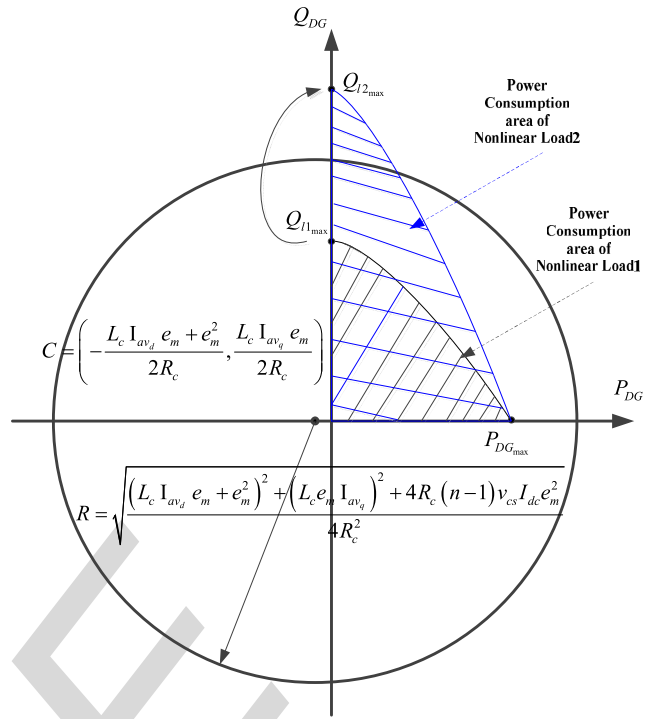


Fig. 3. Capability curve of the proposed DG scheme.

Therefore, DG can enhance the quality of grid current and PF between grid current and load voltage, while all the reactive power and harmonic current components of the load exist inside the circle of CC. In addition, DG can inject the total active power of the loads and reduce the grid current to zero value as long as the active power exists inside the circle.

#### B. Dynamic Evaluation of DLC Technique

Lyapunov function candidate  $(E(x_1, x_2, \dots, x_{n-1}, x_n, x_{n+1}))$  in the proposed DG scheme should be calculated to study the global stability of the proposed DG link through the DLC method and achieving dynamic parts of switching functions in the interfaced multilevel converter for proper integration of DG resources into the power grid. Thus

$$\begin{aligned}
E(x_1, x_2, \dots, x_{n-1}, x_n, x_{n+1}) \\
= \frac{1}{2}L_c x_1^2 + \frac{1}{2}L_c x_2^2 + \frac{1}{2}C x_3^2 + \frac{1}{2}C x_4^2 \\
+ \dots + \frac{1}{2}C x_{n-1}^2 + \frac{1}{2}C x_n^2 + \frac{1}{2}C x_{n+1}^2 \quad (17)
\end{aligned}$$

where  $x_1$  and  $x_2$  are differences between the reference current components in the current control loop of DG and injected current through the interfaced multilevel converter to the grid ( $i_{c_{dq}}^* \rightarrow i_{c_{dq}}$ ), and  $x_3, x_4, \dots, x_{n+1}$  are differences between the voltage of each cascaded capacitor, which is generated via the DG source, and desired dc voltages in dc side of the interfaced converter, compatible with the voltages at PCC ( $v_{cj} \rightarrow v_{cs}$ ).

The globally asymptotical stability against the undesirable disturbances can be achieved for the proposed multilevel converter-based scheme, if the derivative of the defined Lyapunov function candidate in all the system in the state variables trajectories becomes definitive negative [25], [26].

387 By this assumption, total energy of the whole system tends  
388 to zero value and the condition for a stable operating system  
389 will be fulfilled. As a result (18) is given as

$$390 \frac{d}{dt}E(x_1, x_2, \dots, x_n, x_{n+1})$$

$$391 = L_c x_1 \frac{dx_1}{dt} + L_c x_2 \frac{dx_2}{dt} + C x_3 \frac{dx_3}{dt}$$

$$392 + \dots + C x_n \frac{dx_n}{dt} + C x_{n+1} \frac{dx_{n+1}}{dt} < 0. \quad (18)$$

393 The switching state functions for the interfaced multilevel  
394 converter can be defined as

$$395 u_{eq_{dqj}} = u_{eq_{dqjs}} + \Delta u_{eq_{dqj}} \quad (19)$$

396 where  $\Delta u_{eq_{dqj}}$  is the dynamic part of the equivalent switching  
397 state function of interfaced multilevel converter. Equation (19)  
398 gives equivalent switching state functions of the interfaced  
399 converter, which is included in both dynamic and steady-state  
400 operating condition parts. By substituting steady-state condi-  
401 tions of variables and switching state functions of interfacing  
402 system, and (19) in (7), each dynamic part of (18) can be  
403 obtained as

$$404 L_c \frac{dx_1}{dt} = -R_c x_1 + \omega L_c x_2 - \frac{(-L_c I_{avd} - R_c i_{cd}^* + \omega L_c i_{cq}^* - e_m)}{(n-1)v_{cs}}$$

$$405 \times (x_3 + x_4 + \dots + x_n + x_{n+1})$$

$$406 - \Delta u_{eq_{d1}} v_{c1} - \Delta u_{eq_{d2}} v_{c2}$$

$$407 - \dots - \Delta u_{eq_{d(n-2)}} v_{c(n-2)}$$

$$408 - \Delta u_{eq_{d(n-1)}} v_{c(n-1)} - e_m$$

$$409 L_c \frac{dx_2}{dt} = -R_c x_2 - \omega L_c x_1 - \frac{(-L_c I_{avq} - R_c i_{cq}^* - \omega L_c i_{cd}^*)}{(n-1)v_{cs}}$$

$$410 \times (x_3 + x_4 + \dots + x_n + x_{n+1})$$

$$411 - \Delta u_{eq_{q1}} v_{c1} - \Delta u_{eq_{q2}} v_{c2}$$

$$412 - \dots - \Delta u_{eq_{q(n-2)}} v_{c(n-2)} - \Delta u_{eq_{q(n-1)}} v_{c(n-1)}$$

$$413 c_1 \frac{dx_3}{dt} = \frac{(-L_c I_{avd} - R_c i_{cd}^* + \omega L_c i_{cq}^* - e_m)}{(n-1)v_{cs}} x_1 + i_{dc} - I_{dc}$$

$$414 + \frac{(-L_c I_{avq} - R_c i_{cq}^* - \omega L_c i_{cd}^*)}{(n-1)v_{cs}} x_2$$

$$415 + \Delta u_{eq_{d1}} i_{cd} + \Delta u_{eq_{q1}} i_{cq}$$

$$416 \vdots \quad \quad \quad \vdots$$

$$417 c_{n-1} \frac{dx_{n+1}}{dt} = \frac{(-L_c I_{avd} - R_c i_{cd}^* + \omega L_c i_{cq}^* - e_m)}{(n-1)v_{cs}} x_1$$

$$418 + \frac{(-L_c I_{avq} - R_c i_{cq}^* - \omega L_c i_{cd}^*)}{(n-1)v_{cs}} x_2$$

$$419 + \Delta u_{eq_{d(n-1)}} i_{cd} + \Delta u_{eq_{q(n-1)}} i_{cq} + (i_{dc} - I_{dc}). \quad (20)$$

421 By substituting the values of  $(x_1, x_2, \dots, x_{n-1}, x_n, x_{n+1})$   
422 and the terms of (20), each part of (18) can be expressed as

$$423 L_c x_1 \frac{dx_1}{dt} = -R_c x_1^2 + \omega L_c x_2 x_1 - u_{eq_{ds}} x_1$$

$$424 \times (x_3 + x_4 + \dots + x_n + x_{n+1})$$

$$425 - \Delta u_{eq_{d1}} x_1 v_{c1} - \Delta u_{eq_{d2}} x_1 v_{c2}$$

$$- \dots - \Delta u_{eq_{d(n-2)}} x_1 v_{c(n-2)} \quad 426$$

$$- \Delta u_{eq_{d(n-1)}} x_1 v_{c(n-1)} - x_1 e_m \quad 427$$

$$L_c x_2 \frac{dx_2}{dt} = -R_c x_2^2 - \omega L_c x_1 x_2 - u_{eq_{qs}} x_2 \quad 428$$

$$\times (x_3 + x_4 + \dots + x_n + x_{n+1}) \quad 429$$

$$- \Delta u_{eq_{q1}} x_2 v_{c1} - \Delta u_{eq_{q2}} x_2 v_{c2} \quad 430$$

$$- \dots - \Delta u_{eq_{q(n-2)}} x_2 v_{c(n-2)} \quad 431$$

$$- \Delta u_{eq_{q(n-1)}} x_2 v_{c(n-1)} \quad 432$$

$$c_1 x_3 \frac{dx_3}{dt} = u_{eq_{ds}} x_1 x_3 + u_{eq_{qs}} x_2 x_3 + \Delta u_{eq_{d1}} x_3 i_{cd} \quad 433$$

$$+ \Delta u_{eq_{q1}} x_3 i_{cq} + x_3 (i_{dc} - I_{dc}) \quad 434$$

$$\vdots \quad \quad \quad \vdots \quad \quad \quad \vdots \quad 435$$

$$c_{n-1} x_{n+1} \frac{dx_{n+1}}{dt} = u_{eq_{ds}} x_1 x_{n+1} + u_{eq_{qs}} x_2 x_{n+1} \quad 436$$

$$+ \Delta u_{eq_{d(n-1)}} x_{n+1} i_{cd} + \Delta u_{eq_{q(n-1)}} x_{n+1} i_{cq} \quad 437$$

$$+ x_{n+1} (i_{dc} - I_{dc}). \quad (21) \quad 438$$

439 By substituting different terms of (21) in (18), (22) can be  
440 expressed as

$$441 \frac{d}{dt}E(x_1, x_2, \dots, x_n, x_{n+1})$$

$$442 = -R_c (i_{cd} - i_{cd}^*)^2 - R_c (i_{cq} - i_{cq}^*)^2 - \Delta u_{eq_{d1}} (v_{cs} i_{cd} - v_{c1} i_{cd}^*)$$

$$443 - \Delta u_{eq_{d2}} (v_{cs} i_{cd} - v_{c2} i_{cd}^*)$$

$$444 - \dots - \Delta u_{eq_{d(n-1)}} (v_{cs} i_{cd} - v_{c(n-1)} i_{cd}^*)$$

$$445 - \Delta u_{eq_{q1}} (v_{cs} i_{cq} - v_{c1} i_{cq}^*) - \Delta u_{eq_{q2}} (v_{cs} i_{cq} - v_{c2} i_{cq}^*)$$

$$446 - \dots - \Delta u_{eq_{q(n-1)}} (v_{cs} i_{cq} - v_{c(n-1)} i_{cq}^*)$$

$$447 - (i_{dc} - I_{dc}) ((v_{c1} + v_{c2} + \dots + v_{c(n-2)} + v_{c(n-1)})$$

$$448 - (n-1)v_{cs}). \quad (22)$$

449 Equation (22) should have negative value to meet the criteria  
450 for globally asymptotical stability in the whole DG system  
451 during the dynamic changes in the proposed model. To reach  
452 this goal, dynamic parts of the switching state functions should  
453 be defined as

$$454 \Delta u_{eq_{dj}} = \alpha_j (v_{cs} i_{cd} - v_{cj} i_{cd}^*) \quad (23)$$

$$455 \Delta u_{eq_{qj}} = \beta_j (v_{cs} i_{cq} - v_{cj} i_{cq}^*). \quad (24)$$

456 Furthermore,  $v_{cj}$  tends to be equal to  $v_{cs}$  for making an  
457 appropriate compatibility between the voltage of dc and ac  
458 sides during the integrating time; then, the last term in (22) will  
459 be eliminated and consequently the value of the general equa-  
460 tion will be negative during the steady-state operating condi-  
461 tion. But, during the transient time, two different conditions  
462 and values can be expected for  $v_{cj}$  in comparison with  $v_{cs}$ .

463 At first condition, the value of  $v_{cj}$  is assumed less than  $v_{cs}$ ;  
464 then

$$465 \text{if } (v_{c1} + v_{c2} + \dots + v_{c(n-2)} + v_{c(n-1)})$$

$$466 > (n-1)v_{cs} \Rightarrow i_{dc} > I_{dc}$$

$$467 \text{then, } (v_{c1} + v_{c2} + \dots + v_{c(n-2)} + v_{c(n-1)}) - (n-1)v_{cs}$$

$$468 > 0 \text{ and } (i_{dc} - I_{dc}) > 0$$

$$469 \text{therefore, } (i_{dc} - I_{dc}) ((v_{c1} + v_{c2} + \dots + v_{c(n-2)} + v_{c(n-1)})$$

$$470 - (n-1)v_{cs}) > 0. \quad (25)$$

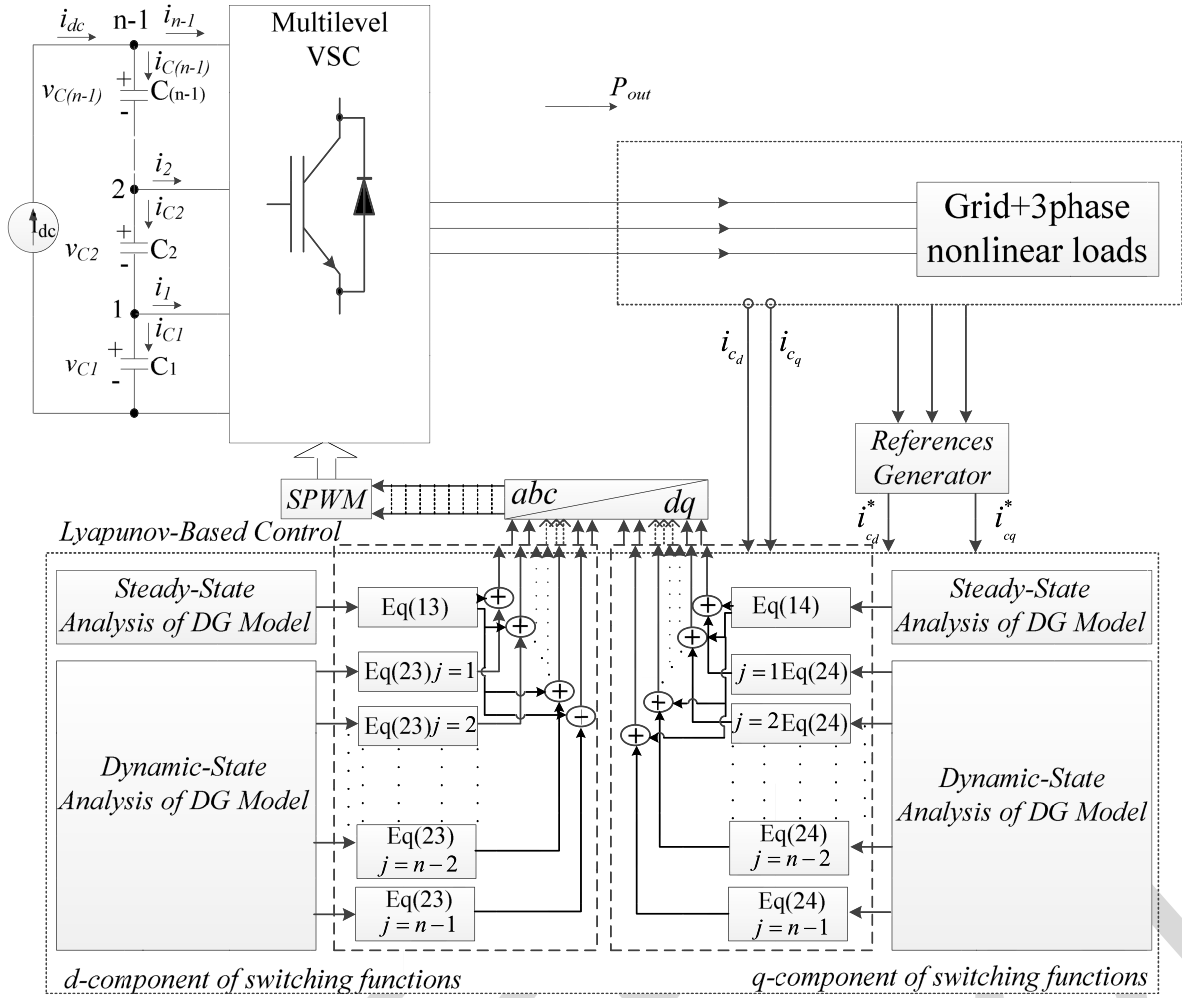


Fig. 4. Block diagram of DLC algorithm for the proposed DG model.

471 At second condition, the value of  $v_{c_j}$  is assumed greater  
472 than  $v_{cs}$ ; then

$$\begin{aligned}
 & \text{if } (v_{c_1} + v_{c_2} + \dots + v_{c_{(n-2)}} + v_{c_{(n-1)}}) \\
 & \quad < (n-1)v_{cs} \Rightarrow i_{dc} < I_{dc} \\
 & \text{then } (v_{c_1} + v_{c_2} + \dots + v_{c_{(n-2)}} + v_{c_{(n-1)}}) - (n-1)v_{cs} \\
 & \quad < 0 \text{ and } (i_{dc} - I_{dc}) < 0 \\
 & \text{therefore } (i_{dc} - I_{dc})((v_{c_1} + v_{c_2} + \dots + v_{c_{(n-2)}} + v_{c_{(n-1)}}) \\
 & \quad - (n-1)v_{cs}) < 0. \quad (26)
 \end{aligned}$$

479 As a result, application of DLC strategy can guarantee a  
480 stable operating region for the proposed multilevel converter-  
481 based DG scheme during the dynamic and steady-state oper-  
482 ating conditions. The switching state functions of multilevel  
483 converter, given in (23) and (24), make a rapid reaction for the  
484 proposed control technique, and therefore, DG currents follow  
485 their reference values with a fast dynamic response in a stable  
486 operating region. The process of switching function generation  
487 in DLC technique is shown in Fig. 4.

### 488 C. Reference Current Calculation

489 Current reference values should be defined based on the  
490 objectives of DLC technique for an efficient operation during

491 the dynamic and steady-state operating conditions. Therefore,  
492 the harmonic current components, maximum active power, and  
493 all the reactive power should be considered in the control loop  
494 of the proposed DG model. By this consideration and based  
495 on Fig. 3, the rest of the power for the additional load which  
496 will be injected from the utility grid is an active power in the  
497 fundamental frequency.

498 The instantaneous complex load power is defined as the  
499 product of the load voltage vector and the conjugate of the  
500 load current vector, given in the form of complex numbers.  
501 As shown in Fig. 2, the instantaneous complex value of load  
502 power will be obtained by

$$503 \quad S = ei^* = P_l + jQ_l = \frac{3}{2}(e_d + je_q)(i_{ld} - ji_{lq}) \quad (27) \quad \text{AQ:4}$$

$$504 \quad S = \frac{3}{2}(e_d i_{ld} + e_q i_{lq}) + j(e_q i_{ld} - e_d i_{lq})$$

505 then

$$506 \quad P_l = \frac{3}{2}(e_d i_{ld} + e_q i_{lq}), \text{ and } Q_l = \frac{3}{2}(e_q i_{ld} - e_d i_{lq}).$$

507 According to the mentioned assumptions in previous sec-  
508 tions regarding  $e_q = 0$ ,  $d$ -component of reference cur-  
509 rent in control loop of the proposed DG scheme can be  
510 achieved by doing the sum of maximum capacity of interfaced

TABLE I  
SIMULATION PARAMETERS

Grid Voltage	380 rms V
dc-Voltage	1000 V
Main Frequency	50 Hz
Converter Resistance	0.1 $\Omega$
Converter Inductance	0.45 mH
$\alpha_1, \beta_1$	0.01
$\alpha_2, \beta_2$	0.01
Switching Frequency	10kHz
$P_{ref}$	6.5 kW
Number of levels	$n=3$

511 multilevel converter for the injection of active power in main  
512 frequency and alternating terms of load current components  
513 in  $d$ -axis as

$$514 \quad i_{cd}^* = \frac{P_{max}}{e_m} + \sum_{n=2}^{\infty} i_{dhn} = \frac{P_{DG}}{e_d} + i_{ld}(1 - LPF). \quad (28)$$

515 The alternating terms of load current components can be  
516 separated from the dc part by a low pass filter (LPF) to  
517 minimize the influence of the high pass filter (HPF) phase  
518 responses. Thus, a minimal phase HPF (MPHPF) can be  
519 obtained, and the transfer function of this LPF has the same  
520 order and cutoff frequency of HPF. So, the minimal phase HPF  
521 can be obtained by the difference between the input signal and  
522 the filtered one. The considered filter has a cutoff frequency  
523  $f_c = (f/2)$  ( $f = 50$  Hz), which promises the extraction of dc  
524 part from the nonlinear load currents. Furthermore, to com-  
525 pensate the load reactive power at fundamental and harmonic  
526 frequencies, DG must inject  $i_{lq}$  as

$$527 \quad i_{lq} = -\frac{Q_l}{e_m} = -\frac{Q_{DG}}{v_{pccd}} = i_{cq}^*. \quad (29)$$

528 However, DG has a limitation in generating active and  
529 reactive powers and also nonlinear load currents in both  
530 fundamental frequency and harmonic components according  
531 to CC of DG, as shown previously in Fig. 3, which should be  
532 considered in the use of DG.

#### 533 IV. RESULTS AND DISCUSSION

534 The proposed model shown in Fig. 1 has been simulated  
535 in MATLAB/Simulink to demonstrate the performance of the  
536 proposed DLC method in DG technology. The values of model  
537 parameters are given in Table I. A 13.27 kVA NPC VSC  
538 has been considered as the heart of the interfacing system  
539 between the DG source and the utility grid. It is assumed  
540 that the interfaced converter generates the maximum power of  
541  $P = 6.5$  kW at the main frequency, continuously. Unexpected  
542 connection of DG to the grid and load increment is considered  
543 to evaluate the accurate dynamic response of DLC technique  
544 in the proposed model. THD analysis of the grid current and  
545 analysis of PF between grid current and load voltage will be

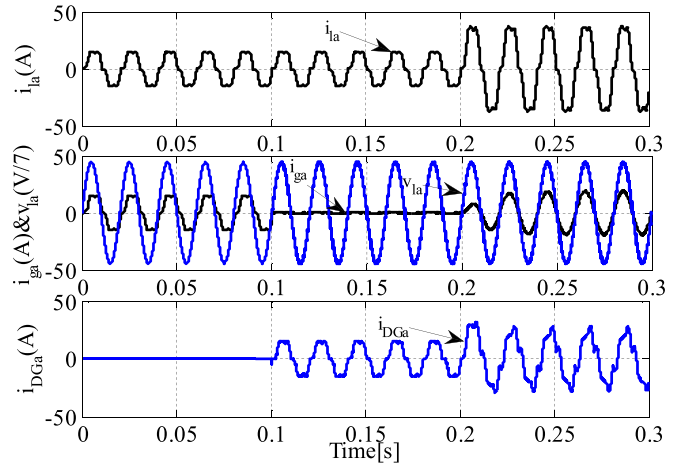


Fig. 5. Load, grid, and DG currents and load voltage, before and after DG interconnection, and before and after additional load increment.

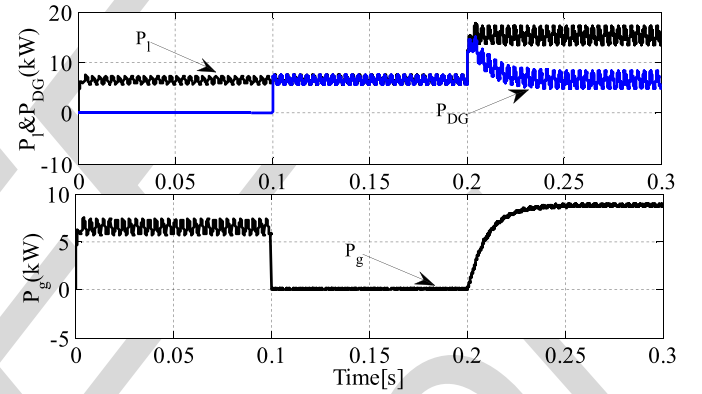


Fig. 6. Active power sharing between the load, DG, and grid before and after DG interconnection, and before and after additional load increment.

546 evaluated to illustrate the performance of the proposed control  
547 technique as a power quality enhancement device.

#### 548 A. DG Connection and Load Increment

549 Before connection of DG link to the grid, a three-phase  
550 diode bridge rectifier with resistant load of  $R = 30 \Omega$  is  
551 directly connected to the grid and draws the nonlinear currents  
552 from the grid. At  $t = 0.1$  s, DG is connected to the grid and  
553 this procedure continues until  $t = 0.2$  s, while another similar  
554 load with resistance of  $R = 20 \Omega$  is added to the grid. Fig. 5  
555 shows the load, grid, and DG currents before and after the  
556 connection of DG link to the grid, and after additional load  
557 increment.

558 As can be seen, before integration of DG to the grid, load  
559 is supplied by the utility grid. But, after connection of DG,  
560 all the current components including the fundamental and  
561 harmonic frequencies are injected by DG. After connection  
562 of the additional load to the grid at  $t = 0.2$  s, the maximum  
563 capacity of multilevel converter is less than the total required  
564 power of the loads; then, the rest of the power which is  
565 active power in fundamental frequency is injected by the grid;  
566 therefore, load voltage and grid current are in phase during  
567 the connection of additional load to the grid.

568 Fig. 6 shows the active power sharing between the grid,  
569 load, and DG before and after integration of DG and before

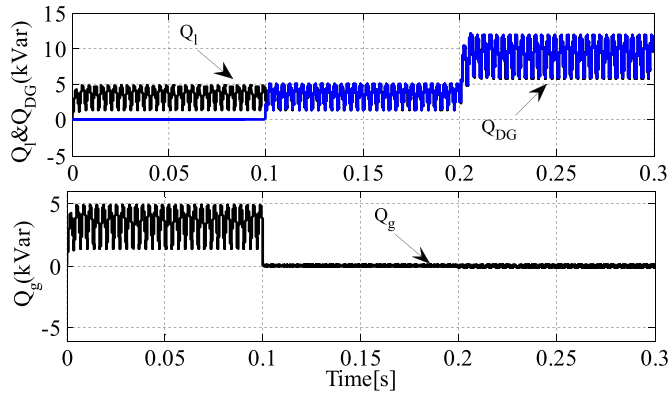


Fig. 7. Reactive power sharing between the load, DG, and grid before and after DG interconnection, and before and after additional load increment.

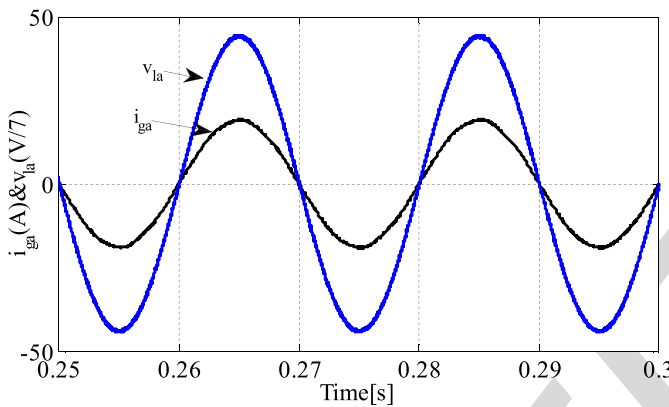


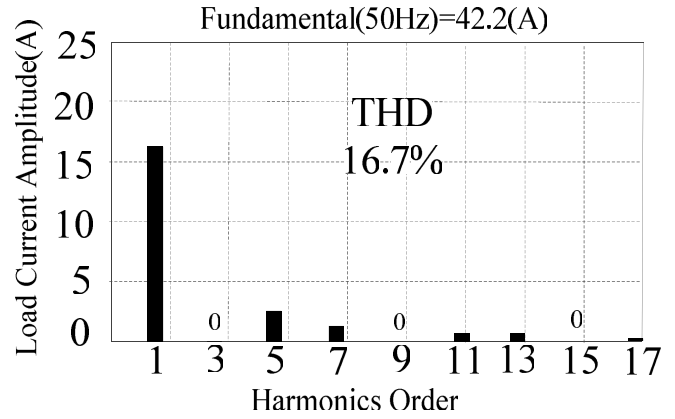
Fig. 8. Grid current and load voltage in phase (a), during load increment.

570 and after additional load increment. As shown in this figure,  
 571 after connection of DG link to the grid, injected power from  
 572 the grid reduced to the zero value and active power of load in  
 573 both the fundamental and harmonic frequencies are supplied  
 574 through the DG source. After connection of the additional load  
 575 to the grid at  $t = 0.2$  s, the maximum active power in fund-  
 576 amental frequency and all the harmonic current components  
 577 are injected via the DG link, and the rest of the active power  
 578 in fundamental frequency is supplied through the main grid.

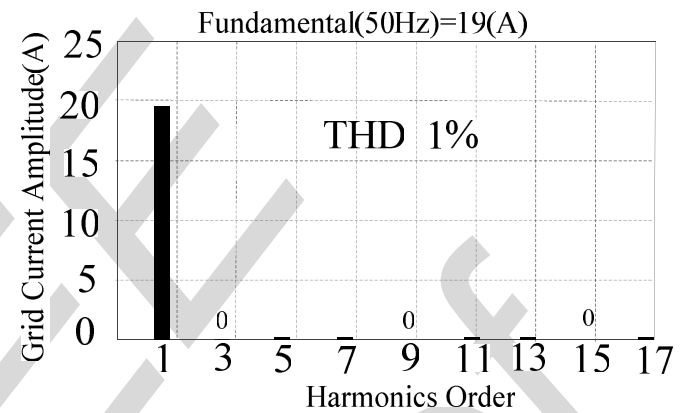
579 Reactive power sharing between the grid, load and DG is  
 580 shown in Fig. 7. As can be seen in this figure, all the reactive  
 581 power in both the fundamental and harmonic frequencies are  
 582 supplied via the DG link after connection of DG source to  
 583 the grid and before and after connection of additional load to  
 584 the grid; therefore, utility grid is free of any reactive power  
 585 components and grid current is in phase with load voltage.

### 586 B. PF and THD Evaluation

587 One of the main objectives of the DLC strategy is to  
 588 achieve a unit PF between the grid current and load voltage.  
 589 To reach this goal, total load reactive power should be gener-  
 590 ated by DG. Fig. 8 shows the grid current and load voltage in  
 591 phase (a) during the connection of additional load to the grid.  
 592 As can be seen, grid current is in phase with load voltage,  
 593 which confirms a unit value for the grid PF. Spectrum analysis  
 594 results of the load and grid currents are shown in Fig. 9.



(a)



(b)

Fig. 9. Harmonic spectrum of load and grid current, during the additional load increment.

As can be seen, THD of load current is 16.7% during connec-  
 tion of additional load to the grid, which could be the same  
 value for the grid current during absence of DG link. But, by  
 the interconnection of DG to the grid, THD of grid current  
 is reduced to 1%, which confirms the capability of the proposed  
 DG model to compensate the harmonic current components of  
 nonlinear loads.

### V. CONCLUSION

A control technique based on DLC method was presented  
 in this paper for the control of multilevel converter topologies  
 and integration of DG resources into the power grid. The  
 compensation of instantaneous variations in the reference  
 current components in ac side and dc voltage variations of  
 cascaded capacitors in dc side of the interfacing system was  
 considered properly as the main contribution and novelty  
 of this control technique. Simulation results illustrated that  
 in all conditions the maximum active power in fundamen-  
 tal frequency is injected through the DG link to the grid, and  
 the load voltage and grid current are in phase by the injection  
 of reactive power of loads in fundamental and harmonic  
 frequencies via the DG link; then, DG can act as power  
 factor correction device. In addition, the proposed DG can



617 provide required harmonic current components of loads in  
 618 all conditions. Therefore, by reducing the THD of the grid  
 619 current, it can act as an active power filter. The proposed  
 620 control method can be used for the integration of different  
 621 types of DG resources, specially on the basis of renewable  
 622 energy, as power quality enhancement device in a custom  
 623 power distribution network.

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